



# STIC Search Report

## EIC 3600

STIC Database Tracking Number: 100826

TO: Elaine Gort  
Location: PK5-7B21  
Art Unit: 3627  
Monday, August 18, 2003

Case Serial Number: 09/530040

From: Elizabeth Deal *ED*  
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### Search Notes

Dear Elaine,

Attached are the results of the above-referenced search. If you have any questions or comments, please feel free to contact me.

Libby

(21)

# EIC2100 COMMERCIAL DATABASE SEARCH REQUEST

Staff Use Only

## Complete 705 Template Search Requested

☐ RUSH - SPE signature required: \_\_\_\_\_

Access DB# 100828

Business Methods Case: 705/ 36

Log Number \_\_\_\_\_

Write in 705 subclass(es) to search required files for 705 cases or cases cross referenced in 705.

Requester's Full Name: Elaine Gort Examiner #: 77459 Date: 8/11/03

Art Unit: 3627 Phone Number 703/308-6391 Serial Number: 91530,040

Bldg & Room #: PK5 7B21 Results Format Preferred: PAPER

If more than one search is submitted, please prioritize searches in order of need.

Provide the PALM Bib page or the following:

Title of Invention: see attached bib sheet

Inventors (provide full names): See bib sheet

Earliest Priority Filing Date: 2/5/99

Requested attachments:

- If possible, provide the cover sheet, the IDS, examples, or relevant citations, authors, etc, if known.
- Please attach copies of the parts of this case that help explain or are most pertinent to this search. Examples are: abstract, background, summary, claim(s) [not all of the claims].

**See particularly claims 1, 2, 9, 14**

The claimed or apparent novelty of the invention is:

A financial model capable of collecting important data for financial instruments that are co-owned and calculating financial scenarios for the different parties involved. Uses a graphical user interface with non-cell based calculators.

This search should focus on:

(Also include keywords or synonyms)

same as above

Special Instructions or Other Comments

Thanks! Elaine

**WHAT IS CLAIMED IS:**

1. A financial scenario modeling and analysis tool, comprising:  
a graphical user interface which enables a user of said tool to create a  
5 graphical model of a financial scenario on a display screen; and  
an engine operable, in response to creation of said graphical model, to  
generate information which at least partially models at least a part of said financial  
scenario using data collected by said engine during creation of said graphical model.
2. The financial scenario modeling and analysis tool of claim 1, wherein said  
10 graphical user interface enables said user to create party graphics respectively  
representing parties to said financial scenario, and to generate financial instrument  
graphics representing financial instruments, wherein each financial instrument graphic  
connects two of said party graphics, said party graphics and said financial instrument  
graphics comprising said graphical model of said financial scenario.
- 15 3. The financial scenario modeling and analysis tool of claim 2, wherein said  
financial instrument graphics indicate a relationship, relative to said financial  
instrument represented thereby, between said parties connected by said financial  
instrument graphic.
- 20 4. The financial scenario modeling and analysis tool of claim 2, wherein said  
engine generates, in response to said creation of said graphical model, instrument  
information for each of said instruments in said graphical model, and said graphical  
user interface enables said user to interact with said instrument information
5. The financial scenario modeling and analysis tool of claim 4, wherein said  
graphical user interface enables said user to enter and define date information

relating to said financial scenario for use by said engine, and further wherein said graphical user interface is operable to display said date information in graphical form on said display screen.

6. The financial scenario modeling and analysis tool of claim 5, wherein said tool enables said date information to be entered using a natural date language, said engine being operable to process said date information from said natural date language.

7. The financial scenario modeling and analysis tool of claim 4, wherein said instrument information constitutes either a fixed part of said financial scenario or a variable part of said financial scenario.

8. The financial scenario modeling and analysis tool of claim 1, wherein said graphical user interface enables said user to modify said graphical model of said financial scenario, and said engine is operable, in response to said modification of said graphical model, to modify said information in accordance with said modification of said graphical model.

9. The financial scenario modeling and analysis tool of claim 2, wherein said engine is operable, in response to said creation of said financial instrument graphic, to define roles for parties represented by said party graphics which are connected by said financial instrument graphic, wherein said roles are used by said engine to define said parties interaction with said financial instrument represented by said financial instrument graphic.

10. The financial scenario modeling and analysis tool of claim 7, wherein said engine is operable to determine an optimal result for said financial scenario relative to

at least one aspect of the scenario, and to calculate optimal values for each of said variables defined by said instrument data based on said optimal result.

11. The financial scenario modeling and analysis tool of claim 1, wherein said tool is operable to determine an optimal result for said financial scenario.

5 12. The financial scenario modeling and analysis tool of claim 10, wherein said graphical user interface is operable to display said optimal result to said user.

10 13. The financial scenario modeling and analysis tool of claim 2, wherein said tool includes a library of predefined financial instruments, and said graphical user interface enables said user to select and use one or more of said predefined financial instruments during creation of said graphical model of said financial scenario.

14. The financial scenario modeling and analysis tool of claim 2, wherein said engine is operable in response to creation of each of said party graphics to generate party-specific information on said party, said graphical user interface enabling said user to edit said party-specific information.

15 15. The financial scenario modeling and analysis tool of claim 14, wherein said tool includes a library of predefined party definitions, and further wherein said graphical user interface enables said user to select a party definition from said library of predefined party definitions.

20 16. The financial scenario modeling and analysis tool of claim 5, wherein said graphical user interface includes a worksheet section which enables said user to input scenario information which is independent of date and instrument information, and

further wherein said engine is operable to use said scenario information when modeling said financial scenario.

17. The financial scenario modeling and analysis tool of claim 16, wherein said worksheet is a non-cell based calculation interface wherein references used in  
5 calculations are based on a hierarchical outline and not on a positional reference.

18. The financial scenario modeling and analysis tool of claim 16, wherein said tool includes a formula language for use in creating said scenario information, said formula language including a library of predefined functions and keywords.

19. The financial scenario modeling and analysis tool of claim 18, wherein said  
10 engine is operable upon entry of said scenario information in said worksheet section to establish links between related scenario information and between scenario information and said date information, thereby establishing a dependence therebetween, and further wherein said engine is operable to use said links when modeling said financial scenario.

15 20. The financial scenario modeling and analysis tool of claim 18, wherein said tool includes a library of predefined worksheets for use in said worksheet section; said graphical user interface enabling said user to select said predefined worksheets from said library of pre-defined worksheets.

21. The financial scenario modeling and analysis tool of claim 19, wherein said  
20 formula language further includes a library of predefined prefixes for use in creating said scenario information.

22. The financial scenario modeling and analysis tool of claim 6, wherein said natural date language is used in said tool to specify either a single date or a series of dates relating to said financial scenario.

23. The financial scenario modeling and analysis tool of claim 22, wherein said tool enables a plurality of possible outcomes to be modeled based on different date information provided by said user.

24. The financial scenario modeling and analysis tool of claim 1, wherein said information is selected from the group consisting of : timelines, formulas, templates, parameters, constraints, optimizable parameters, cash flows, and reports.

25. A financial scenario modeling and analysis tool, comprising:  
a graphical user interface which enables a user of said tool to create a graphical model of a financial scenario on a display screen; and

an engine operable, in response to creation of said graphical model, to generate information which at least partially models at least a part of said financial scenario using data collected by said engine during creation of said graphical model;

wherein said graphical user interface is presented on said display screen in a book-like configuration in which a plurality of different sections of said graphical user interface are represented by different chapters in said book-like configuration, each of said chapters having a tab graphic associated therewith, wherein upon selection of said tab graphic by said user, said user interface is operable to display said chapter associated therewith.

26. The financial scenario modeling and analysis tool of claim 25, wherein said tab graphics are located along a side of said display screen, and further wherein each chapter may include a plurality of pages, said pages having tab graphics which are displayed to said user when a chapter having said pages is selected by said user.

27. The financial scenario modeling and analysis tool of claim 25, wherein said chapters include a diagram chapter for creating said graphical model, a parties chapter for providing data relating to said parties, a time chapter for viewing and editing dates associated with said financial scenario, an instruments chapter for viewing and editing instrument data, a worksheet chapter for enabling said user to define formulas relating to said financial scenario, an optimization chapter for use in optimizing said financial scenario, a payment chapter for viewing payment flows in said financial scenario, and a reports chapter for enabling reports to be generated relating to said financial scenario.

28. A financial scenario modeling and analysis tool, comprising:  
 a graphical user interface which enables a user of said tool to create a graphical model of a financial scenario on a display screen; and  
 an engine operable, in response to creation of said graphical model, to generate information which at least partially models at least a part of said financial scenario using data collected by said engine during creation of said graphical model,  
 wherein said graphical user interface includes a worksheet section which enables said user to input scenario information relating to said financial scenario which is not expressly represented in said graphical model.

29. The financial scenario modeling and analysis tool of claim 28, wherein said worksheet section is a non-cell based calculation interface wherein references used in calculations are based on a hierarchical outline and not on a position reference.

30. The financial scenario modeling and analysis tool of claim 28, wherein said graphical user interface enables said user to create party graphics respectively relating to said financial scenario, and to generate financial instrument



graphics representing financial instruments, wherein each financial instrument graphic connects two of said party graphics. said party graphics and said financial instrument graphics comprising said graphical model of said financial scenario.

31. The financial scenario modeling and analysis tool of claim 28, wherein said  
5 tool includes a formula language for use in creating said scenario information, said formula language including a library of predefined functions and keywords.

32. The financial scenario modeling and analysis tool of claim 31, wherein said  
engine is operable upon entry of scenario information in said worksheet section to  
establish links between related scenario information and between scenario  
10 information and date information relating to said scenario, thereby establishing a  
dependence therebetween, and further wherein said engine is operable to use said  
links when modeling said financial scenario.

33. The financial scenario modeling and analysis tool of claim 31, wherein said  
tool includes a library of predefined worksheets for use in said worksheet section, said  
15 graphical user interface enabling said user to select worksheets from said library of  
pre-defined worksheets.

34. The financial scenario modeling and analysis tool of claim 31, wherein said  
formula language further includes a library of predefined prefixes for use in creating  
said scenario information.

20 35. The financial scenario modeling and analysis tool of claim 34, wherein said  
prefixes defines how a parameter in the model of said financial scenario is handled  
with reference to other parameters, causes automatic accrual, causes table look-up

operation, causes interpolation, causes extrapolation, specifies variability during optimization, causes a search, or causes a repetitive calculation.

36. The financial scenario modeling and analysis tool of claim 33, wherein said tool enables said user to define custom functions for use in creating said scenario  
5 information.

37. A financial scenario modeling and analysis tool, comprising:  
a graphical user interface which enables a user of said tool to create a graphical model of a financial scenario on a display screen; and  
an engine operable, in response to creation of said graphical model, to  
10 generate information which at least partially models at least a part of said financial scenario using data collected by said engine during creation of said graphical model;  
wherein said graphical user interface enables said user to enter and define date information relating to said financial scenario for use by said engine, said graphical user interface being operable to display said date information in graphical  
15 form on said display screen;

and further wherein said tool enables said date information to be entered using a natural date language, said engine being operable to process said date information from said natural date language.

38. The financial scenario modeling and analysis tool of claim 37, wherein said  
20 natural date language is used in said tool to specify either a single date or a series of dates relating to said financial scenario.

39. The financial scenario modeling and analysis tool of claim 38, wherein said graphical user interface enables said user to create party graphics respectively

representing parties to said financial scenario, and to generate financial instrument graphics representing financial instruments, wherein each financial instrument graphic connects two of said party graphics, said party graphics and said financial instrument graphics comprising said graphical model of said financial scenario.

5           40. A mathematical scenario modeling and analysis tool, comprising:

a graphical user interface which enables a user of said tool to create a graphical model of a mathematical scenario on a display screen; and

an engine operable, in response to creation of said graphical model, to generate information which at least partially models at least a part of said  
10 mathematical scenario using data collected by said engine during creation of said graphical model.

41. An electronic tool for performing mathematical calculations, comprising:

an information processing system; and

a graphical user interface for said information processing system;

15 wherein said graphical user interface is a non-cell based calculation interface which performs calculations using references which are based on a hierarchical outline and not a positional reference.

42. A method for computerized modeling of a financial scenario, comprising:

creating a graphical model of a financial scenario on a display screen; and

20 generating, in response to creation of said graphical model, information which at least partially models at least a part of said financial scenario using data collected during creation of said graphical model.

43. The method of claim 42, wherein said generating includes creating party graphics respectively representing parties to said financial scenario, and creating

financial instrument graphics representing financial instruments, wherein each financial instrument graphic connects two of said party graphics, said party graphics and said financial instrument graphics comprising said graphical model of said financial scenario.

5           44. The method of claim 43, further including defining said financial instrument graphics to indicate a relationship, relative to said financial instrument represented thereby, between said parties connected by said financial instrument graphic.

10           45. The method of claim 43, further including generating, in response to said creation of said graphical model, instrument information for each of said instruments in said graphical model, and enabling said user to interact with said instrument information

          46. The method of claim 45, further including entering and defining date information relating to said financial scenario, and displaying said date information in graphical form on said display screen.

15           47. The method of claim 46, further including using a natural date language to enter said date information.

          48. The financial scenario modeling and analysis tool of claim 45, further including defining said instrument information to constitute either a fixed part of said financial scenario or a variable part of said financial scenario.

20           49. The method of claim 44, enabling said user to modify said graphical model of said financial scenario, and, in response to said modification of said graphical

model, automatically modifying said information in accordance with said modification of said graphical model.

50. The method of claim 43, further including defining, in response to said creation of said financial instrument graphic, roles for parties represented by said party graphics which are connected by said financial instrument graphic, wherein said roles are used to define said parties interaction with said financial instrument represented by said financial instrument graphic.

51. The method of claim 48, further including determining an optimal result for said financial scenario relative to at least one aspect of the scenario, calculating optimal values for each of said variables defined by said instrument data based on said optimal result.

52. The method of claim 42, further including determining an optimal result for said financial scenario.

53. The method of claim 48, further including displaying said optimal result to said user.

54. The method of claim 43, further including providing a library of predefined financial instruments, and enabling said user to select and use one or more of said predefined financial instruments during creation of said graphical model of said financial scenario.

55. The method of claim 43, further including generating, in response to creation of each of said party graphics, party-specific information on said party, and enabling said user to edit said party specific information.

56. The method of claim 55, further including providing a library of predefined party definitions, and enabling said user to select a party definition from said library of predefined party definitions.

57. The method of claim 46, further including enabling said user to input  
5 scenario information which is independent of date and instrument information, and using said scenario information when modeling said financial scenario.

58. The method of claim 57, further including using a non-cell based calculation interface for entering said scenario information, wherein references used in calculations are based on a hierarchical outline and not on a positional reference.

59. The method of claim 57, further including providing a formula language for  
10 use in creating said scenario information, said formula language including a library of predefined functions and keywords.

60. The method of claim 59, further including establishing links between related scenario information and between scenario information and said date  
15 information, thereby establishing a dependence therebetween, and using said links when modeling said financial scenario.

61. The method of claim 59, further including providing a library of predefined calculations and enabling said user to select said predefined calculations.

62. The method of claim 60, further including providing a library of predefined  
20 prefixes for use in creating said scenario information.

63. The method of claim 47, further including using said natural date language to specify either a single date or a series of dates relating to said financial scenario.

64. The method of claim 63, further including enabling a plurality of possible outcomes to be modeled based on different data information provided by said user.

65. The method of claim 42, wherein said information is selected from the group consisting of: timelines, formulas, templates, parameters, constraints,  
5 optimizable parameters, cash flows, and reports.

66. A financial scenario modeling and analysis tool, comprising:  
means for creating a graphical model of a financial scenario on a display screen; and

means for generating, in response to creation of said graphical model,  
10 information which at least partially models at least a part of said financial scenario using data collected by said engine during creation of said graphical model.

67. The financial scenario modeling and analysis tool of claim 66, wherein said means for creating enables a user to create party graphics respectively representing parties to said financial scenario, and to create financial instrument  
15 graphics representing financial instruments, wherein each financial instrument graphic connects two of said party graphics, said party graphics and said financial instrument graphics comprising said graphical model of said financial scenario.

68. The financial scenario modeling and analysis tool of claim 67, wherein said financial instrument graphics indicate a relationship, relative to said financial  
20 instrument represented thereby, between said parties connected by said financial instrument graphic.

69. The financial scenario modeling and analysis tool of claim 67, wherein said means for generating generates, in response to said creation of said graphical model,

instrument information for each of said instruments in said graphical model, and said means for creating enables said user to interact with said instrument information.

70. The financial scenario modeling and analysis tool of claim 69, wherein said means for creating enables said user to enter and define date information relating to said financial scenario for use by said means for generating, and further wherein said means for creating is operable to display said date information in graphical form on said display screen.

71. The financial scenario modeling and analysis tool of claim 70, further including means for enabling said date information to be entered using a natural date language, said engine being operable to process said date information from said natural date language.

72. The financial scenario modeling and analysis tool of claim 69, wherein said instrument information constitutes either a fixed part of said financial scenario or a variable part of said financial scenario.

73. The financial scenario modeling and analysis tool of claim 66, wherein said means for creating enables said user to modify said graphical model of said financial scenario, and said means for generating is operable, in response to said modification of said graphical model, to modify said information in accordance with said modification of said graphical model.

74. The financial scenario modeling and analysis tool of claim 67, wherein said means for generating is operable, in response to said creation of said financial instrument graphic, to define roles for parties represented by said party graphics which are connected by said financial instrument graphic, wherein said roles are used



by said engine to define said parties interaction with said financial instrument represented by said financial instrument graphic.

75. The financial scenario modeling and analysis tool of claim 72, wherein said means for generating is operable to determine an optimal result for said financial scenario relative to at least one aspect of the scenario, and to calculate optimal values for each of said variables defined by said instrument data based on said optimal result.

76. The financial scenario modeling and analysis tool of claim 66, wherein said tool is operable to determine an optimal result for said financial scenario.

77. The financial scenario modeling and analysis tool of claim 75, wherein said means for creating is operable to display said optimal result to said user.

78. The financial scenario modeling and analysis tool of claim 67, wherein said tool includes a library of predefined financial instruments, and said means for creating enables said user to select and use one or more of said predefined financial instruments during creation of said graphical model of said financial scenario.

79. The financial scenario modeling and analysis tool of claim 67, wherein said means for generating is operable in response to creation of each of said party graphics to generate party-specific information on said party, said means for creating enabling said user to edit said party-specific information.

80. The financial scenario modeling and analysis tool of claim 79, wherein said tool includes a library of predefined party definitions, and further wherein said means

for creating enables said user to select a party definition from said library of predefined party definitions.

81. The financial scenario modeling and analysis tool of claim 70, wherein said means for creating includes a worksheet section which enables said user to input  
 5 scenario information which is independent of date and instrument information, and further wherein said means for generating is operable to use said scenario information when modeling said financial scenario.

82. The financial scenario modeling and analysis tool of claim 81, wherein said worksheet is a non-cell based calculation interface wherein references used in  
 10 calculations are based on a hierarchical outline and not on a positional reference.

83. The financial scenario modeling and analysis tool of claim 81, wherein said tool includes a formula language for use in creating said scenario information, said formula language including a library of predefined functions and keywords.

84. The financial scenario modeling and analysis tool of claim 83, wherein said  
 15 means for generating is operable upon entry of said scenario information in said worksheet section to establish links between related scenario information and between scenario information and said date information, thereby establishing a dependence therebetween, and further wherein said means for generating is operable to use said links when modeling said financial scenario.

20 85. The financial scenario modeling and analysis tool of claim 83, wherein said tool includes a library of predefined worksheets for use in said worksheet section, said means for creating enabling said user to select said predefined worksheets from said library of predefined worksheets

87. The financial scenario modeling and analysis tool of claim 71, wherein said  
5 natural date language is used in said tool to specify either a single date or a series of  
dates relating to said financial scenario.

10 89. The financial scenario modeling and analysis tool of claim 66, wherein said information is selected from the group consisting of: timelines, formulas, templates, parameters, constraints, optimizable parameters, cash flows, and reports.

**ABSTRACT OF THE DISCLOSURE**

A financial scenario modeling and analysis tool, including a graphical user interface which enables a user of the tool to create a graphical model of a financial scenario, generally including at least one financial transaction, on a display screen, and an engine operable, in response to creation of the graphical model, to automatically generate information, such as financial or mathematical information, which at least partially models at least a part of the financial scenario using information collected by the engine during creation of the graphical model. The graphical user interface enables the user to create party graphics respectively representing parties to the financial deal, and to generate financial instrument graphics representing financial instruments, wherein each financial instrument graphic connects two of the party graphics. The engine generates, in response to the creation of a graphical model, an instrument information, such as an object or template, for each of the instruments in the graphical model. The tool includes a natural date language and a formula language for use in modeling a scenario. The tool enables optimization of optimizable parameters defined in the scenario, and includes a user-friendly, book-like and CAD-like user interface.

File 347:JAPIO Oct 1976-2003/Apr(Updated 030804)  
(c) 2003 JPO & JAPIO  
File 348:EUROPEAN PATENTS 1978-2003/Jul W03  
(c) 2003 European Patent Office  
File 349:PCT FULLTEXT 1979-2002/UB=20030807,UT=20030731  
(c) 2003 WIPO/Univentio  
File 350:Derwent WPIX 1963-2003/UD,UM &UP=200352  
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Set	Items	Description
S1	5	AU='LEE LUKE':AU='LEE LUKE PYUNGESSE'
S2	3	AU='BELCSAK L':AU='BELCSAK LADISLAV V'
S3	3	AU='COLLOP D':AU='COLLOP DAVID J'
S4	3	AU='BEWSHER M':AU='BEWSHER MARK R'
S5	3	AU='NIEMIRA T':AU='NIEMIRA THADEUS H'
S6	25	AU='MORITZ D' OR AU='MORITZ D D' OR AU='MORITZ DENNIS D'
S7	16	AU='COHN S' OR AU='COHN S G' OR AU='COHN STEPHEN G'
S8	3	(S1:S7) AND SCENARIO?

8/5/1 (Item 1 from file: 348)  
DIALOG(R)File 348:EUROPEAN PATENTS  
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01197746

AUTOMATED FINANCIAL SCENARIO MODELING AND ANALYSIS TOOL HAVING AN  
INTELLIGENT GRAPHICAL USER INTERFACE

AUTOMATISCHES WERKZEUG ZUM ERSTELLEN UND ANALYSIEREN VON MODELLEN FÜR  
FINANZ-SZENARIEN MIT EINER INTELLIGENTEN GRAPHISCHEN BENUTZEROBERFLÄCHE

OUTIL DE MODELISATION ET D'ANALYSE DE SCENARIO FINANCIER AUTOMATIQUE A  
INTERFACE GRAPHIQUE D'UTILISATEUR INTELLIGENTE

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PATENT (CC, No, Kind, Date): EP 1175654 A2 020130 (Basic)  
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APPLICATION (CC, No, Date): EP 2000908458 000203; WO 2000US2776 000203

PRIORITY (CC, No, Date): US 118743 P 990205

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LU; MC; NL; PT; SE

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS: G06F-017/60

NOTE:

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Application: 001004 A2 International application entering European  
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Application: 020130 A2 Published application without search report

Examination: 020130 A2 Date of request for examination: 20010903

Assignee: 020925 A2 Transfer of rights to new applicant: Babcock &  
Brown, LP (4175800) 2 Harrison Street San  
Francisco, CA 94105 US

LANGUAGE (Publication,Procedural,Application): English; English; English

8/5/2 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00733730 \*\*Image available\*\*

AUTOMATED FINANCIAL SCENARIO MODELING AND ANALYSIS TOOL HAVING AN  
INTELLIGENT GRAPHICAL USER INTERFACE

OUTIL DE MODELISATION ET D'ANALYSE DE SCENARIO FINANCIER AUTOMATIQUE A  
INTERFACE GRAPHIQUE D'UTILISATEUR INTELLIGENTE

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Patent and Priority Information (Country, Number, Date):

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Application: WO 2000US2776 20000203 (PCT/WO US0002776)  
Priority Application: US 99118743 19990205

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG US UZ VN YU ZA ZW  
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Main International Patent Class: G06F-017/60

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Fulltext Availability:

Detailed Description

Claims

Fulltext Word Count: 41743

English Abstract

French Abstract

La presente invention concerne un outil de modelisation et d'analyse de  
scenarii financiers, comportant une interface d'utilisateur graphique qui  
permet a l'utilisateur de creer un modele graphique de **scenario**  
financier, contenant generalement au moins une transaction financiere,  
sur un ecran d'affichage, et un moteur operable, en reponse a la creation  
du modele graphique, de maniere a produire automatiquement des  
informations, comme par exemple des informations financieres ou  
mathematiques, qui modelisent au moins partiellement une partie du  
**scenario** financier a l'aide d'informations collectees par le moteur lors  
de la creation du modele graphique. L'interface d'utilisateur graphique  
permet a l'utilisateur de creer des graphiques partiels representant  
chacun des parties de l'operation financiere, et de produire des  
graphiques d'instruments financiers representant des instruments  
financiers, chaque graphique d'instrument financier reliant deux parties  
des graphiques partiels. Le moteur produit, en reponse a la creation d'un  
modele graphique, une information d'instrument, telle qu'un objet ou un  
gabarit, pour chacun des instruments du modele graphique. L'instrument  
comprend un langage de dates naturel et un langage de formules utilises  
pour modeliser un **scenario**. En outre, cet outil permet d'optimiser des  
parametres optimisables definis dans le **scenario**, et comprend une  
interface d'utilisateur conviviale de type livre et de type CAD..

Legal Status (Type, Date, Text)

Publication 20000810 A2 Without international search report and to be  
republished upon receipt of that report.  
Examination 20000908 Request for preliminary examination prior to end of  
19th month from priority date  
Correction 20010907 Corrected version of Pamphlet: pages 1/29-29/29,  
drawings, replaced by new pages 1/22-22/22; due to  
late transmittal by the receiving Office  
Republication 20010907 A2 Without international search report and to be

republished upon receipt of that report.

Correction 20010907 Corrected version of Pamphlet:  
Declaration 20011122 Late publication under Article 17.2a  
Republication 20011122 A2 With declaration under Article 17(2)(a); without  
abstract; title not checked by the International  
Searching Authority.

8/5/3 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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013514686 \*\*Image available\*\*  
WPI Acc No: 2000-686632/200067  
XRPX Acc No: N00-507683

Financial scenario modeling and analysis tool, generates information of  
model in response to created model using data collected during creation  
of graphical model

Patent Assignee: BABCOCK & BROWN INC (BABC-N); BABCOCK & BROWN LP (BABC-N)  
Inventor: BELCSAK L V ; BEWSHER M R ; COHN S G ; COLLOP D J ; LEE L;  
MORITZ D D ; NIEMIRA T H ; CALLOP D J  
Number of Countries: 091 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200046717	A2	20000810	WO 2000US2776	A	20000203	200067 B
AU 200029795	A	20000825	AU 200029795	A	20000203	200067
EP 1175654	A2	20020130	EP 2000908458	A	20000203	200216
			WO 2000US2776	A	20000203	
ZA 200106401	A	20020828	ZA 20016401	A	20010803	200264

Priority Applications (No Type Date): US 99118743 P 19990205

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200046717 A2 E 193 G06F-017/60

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN  
CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP  
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE  
SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 200029795 A G06F-017/60 Based on patent WO 200046717

EP 1175654 A2 E G06F-017/60 Based on patent WO 200046717

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT  
LI LT LU LV MC MK NL PT RO SE SI

ZA 200106401 A 199 G06F-000/00

Abstract (Basic): WO 200046717 A2

NOVELTY - A graphical user interface enables user of tool to create  
graphical model of financial scenario on display screen. In response  
to created model, an engine generates information of model of financial  
scenario using data collected during creation of graphical model.

DETAILED DESCRIPTION - The graphical user interface enables user to  
create party graphics respectively representing financial scenario  
and to generate financial instrument graphics. The financial instrument  
graphics indicate relationship relative to financial instrument  
represented thereby between parties connected by financial instrument  
graphic. INDEPENDENT CLAIMS are also included for the following:

- (a) mathematical scenario modeling and analysis tool;
- (b) electronic tool for performing mathematical calculation;
- (c) computerized method for modeling financial scenario

USE - For handling variables such as taxes, accrual, menu of  
building blocks, e.g. loans, rents, fees, purchases etc, menu of  
built-in reports.

ADVANTAGE - If variables exist in scenario based on requirements  
of parties, tool enables the scenario to be optimized.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of



major components in modeling and analyzing tool.

pp; 193 DwgNo 1/29

Title Terms: FINANCIAL; ANALYSE; TOOL; GENERATE; INFORMATION; MODEL;  
RESPOND; MODEL; DATA; COLLECT; CREATION; GRAPHICAL; MODEL

Derwent Class: T01

International Patent Class (Main): G06F-000/00; G06F-017/60

File Segment: EPI

File 347:JAPIO Oct 1976-2003/Apr(Updated 030804)

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File 350:Derwent WPIX 1963-2003/UD,UM &UP=200352

(c) 2003 Thomson Derwent

Set	Items	Description
S1	9542	(FINANCIAL OR MONETARY OR INVESTMENT) (2W) (INSTRUMENT? ? OR ASSET? ?) OR SECURITIES OR CAPITAL OR HOLDINGS OR PORTFOLIO?
S2	2653883	MANY OR MULTIPL? OR MULTI OR SEVERAL OR NUMEROUS? OR PLURAL? OR MYRIAD OR VARIOUS? OR VARIED OR DUAL? OR (MORE OR GREATER) () THAN () (1 OR ONE)
S3	98393	PARTY OR PARTIES OR INVESTOR? OR PURCHASER? OR BUYER? OR CUSTOMER? OR OWNER? ?
S4	1797	CO()OWN? OR (MULTIPARTY OR COMMON?? OR SHARED OR SHARING OR JOINT OR COOPERATIVE?) (2W) (OWNED OR OWNERSHIP OR TITLEHOLDER? ?) OR S2(1W)S3
S5	390428	SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR) () ANALY?
S6	1873987	MODEL? OR VISUAL? OR PICTURE? ? OR GRAPHIC? OR IMAGE? OR TABLE? OR TABULAR? OR SPREADSHEET? OR REPRESENTATION? OR DEPICT? OR PORTRAY? OR SYMBOL?
S7	0	S1 AND S4 AND S5 AND S6
S8	0	S1 AND S4 AND S5
S9	80	S1 AND S2 AND S5
S10	29	S9 AND (IC=(G06F-000/00 OR G06F-017/60) OR MC=(T01-J04A OR T01-J05A2 OR T01-J12B1))
S11	1	S1 AND S4 AND S6

10/TI,PY,AY,AZ/1 (Item 1 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

07444066  
MASTER TRUST SYSTEM USING INFORMATION SYSTEM

PUBLISHED: October 25, 2002 (20021025)

10/TI,PY,AY,AZ/2 (Item 2 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

07240754  
SYSTEM FOR ANALYZING SENSITIVITY OF PORTFOLIO

PUBLISHED: April 12, 2002 (20020412)

10/TI,PY,AY,AZ/3 (Item 3 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

07084945  
RISK CALCULATING SYSTEM AND METHOD THEREFOR

PUBLISHED: November 09, 2001 (20011109)

10/TI,PY,AY,AZ/4 (Item 4 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

06707737  
PORTFOILO PRESENTATION METHOD, DEVICE AND SYSTEM, AND STORAGE MEDIUM OF  
COMPUTER PROGRAM

PUBLISHED: October 20, 2000 (20001020)

10/TI,PY,AY,AZ/5 (Item 5 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

05466840  
SCENARIO RETRIEVAL PROCESSING METHOD IN RISK ANALYSIS OF FINANCIAL  
ASSETS

PUBLISHED: March 28, 1997 (19970328)

10/TI,PY,AY,AZ/6 (Item 1 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015412065  
Waste material regeneration method for small-scale excavation works,  
involves sending vehicle with regeneration machine to several work  
sites sequentially, according to preset time information

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2003119715	A	20030423	JP 2001318062	A	20011016	200345 B

Local Applications (No Type Date): JP 2001318062 A 20011016  
Priority Applications (No Type Date): JP 2001318062 A 20011016

10/TI,PY,AY,AZ/7 (Item 2 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015382268

Decision aiding system for investors, by estimation of risks linked to financial investments, comprises means for storing portfolio data and calculating the effect of random financial scenarios on the portfolio

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
FR 2829851	A1	20030321	FR 200111998	A	20010917	200342 B
US 20030105703	A1	20030605	US 2002241810	A	20020911	200344

Local Applications (No Type Date): FR 200111998 A 20010917; US 2002241810 A 20020911

Priority Applications (No Type Date): FR 200111998 A 20010917

10/TI,PY,AY,AZ/8 (Item 3 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015286392

Integrated evaluation system of market risk and credit risk unifies and evaluates market risk and credit risk by computing future price distribution of portfolio or separate assets based on computed price

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2003067565	A	20030307	JP 2001252108	A	20010822	200333 B

Local Applications (No Type Date): JP 2001252108 A 20010822

Priority Applications (No Type Date): JP 2001252108 A 20010822

10/TI,PY,AY,AZ/9 (Item 4 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015271011

On-line will/trust document generation method using networked personal computer, involves processing data input in several screens arranged in sequential and logical order to automatically create will/trust document

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030033227	A1	20030213	US 2001928009	A	20010810	200331 B

Local Applications (No Type Date): US 2001928009 A 20010810

Priority Applications (No Type Date): US 2001928009 A 20010810

10/TI,PY,AY,AZ/10 (Item 5 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015206821

Computer-based profitability monitoring method for manufacturing plant, involves calculating several profitability measures from two specified sets of data items

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030018503	A1	20030123	US 2001907812	A	20010719	200326 B
CA 2394227	A1	20030119	CA 2394227	A	20020718	200326
GB 2380835	A	20030416	GB 200216860	A	20020719	200328

Local Applications (No Type Date): US 2001907812 A 20010719; CA 2394227 A 20020718; GB 200216860 A 20020719

Priority Applications (No Type Date): US 2001907812 A 20010719

10/TI,PY,AY,AZ/11 (Item 6 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

015195577

Financial instrument operation simulation method in financial

institution, involves varying specific surface parameter corresponding to specific stress value to extract volatility defined by surface model

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030018456	A1	20030123	US 2001896488	A	20010629	200325 B
			US 2002159447	A	20020531	

Local Applications (No Type Date): US 2001896488 A 20010629; US 2002159447 A 20020531

Priority Applications (No Type Date): US 2002159447 A 20020531; US 2001896488 A 20010629

10/TI,PY,AY,AZ/12 (Item 7 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014998782

Portfolio analysis method of financial assets , involves generating cash flow data table to perform sensitivity analysis using Monte Carlo simulation model, based on which financially attractive bids are developed

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200297574	A2	20021205	WO 2002US16736	A	20020528	200305 B
US 20030033229	A1	20030213	US 2001871341	A	20010531	200314

Local Applications (No Type Date): WO 2002US16736 A 20020528; US 2001871341 A 20010531

Priority Applications (No Type Date): US 2001871341 A 20010531

10/TI,PY,AY,AZ/13 (Item 8 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014846720

Method for supporting strategic business decision-making modeling and analyzing complex strategic business decisions by receiving input data simulating several outcomes under number of scenarios over period of time based on input data

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200273860	A2	20020919	WO 2002US6922	A	20020306	200271 B
US 20020169658	A1	20021114	US 2001274328	A	20010308	200277
			US 200291859	A	20020306	

Local Applications (No Type Date): WO 2002US6922 A 20020306; US 2001274328 A 20010308; US 200291859 A 20020306

Priority Applications (No Type Date): US 2001274328 P 20010308; US 200291859 A 20020306

10/TI,PY,AY,AZ/14 (Item 9 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014821698

Intellectual property acquisition method e.g. for patents, trademarks, involves retrieving records in server database based on search criteria and adding non-rejected records sequentially in user database

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020091541	A1	20020711	US 2000212241	A	20000616	200269 B
			US 2001882846	A	20010615	

Local Applications (No Type Date): US 2000212241 A 20000616; US 2001882846 A 20010615

Priority Applications (No Type Date): US 2000212241 P 20000616; US

10/TI,PY,AY,AZ/15 (Item 10 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014613588

Work flow management system for financial institution, has graphical interface for arranging objects sequentially to specific order, in which multiple differential task is performed

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020040312	A1	20020404	US 2000237164	P	20001002	200246 B
			US 2001970312	A	20011002	

Local Applications (No Type Date): US 2000237164 P 20001002; US 2001970312 A 20011002

Priority Applications (No Type Date): US 2000237164 P 20001002; US 2001970312 A 20011002

10/TI,PY,AY,AZ/16 (Item 11 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014606691

Decision option displaying method in data processing system, involves displaying rose graph having sectors whose area gives merit of decision criteria and decision option

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
CA 2357566	A1	20020320	CA 2357566	A	20010920	200246 B
US 20020123976	A1	20020905	US 2001956241	A	20010920	200260

Local Applications (No Type Date): CA 2357566 A 20010920; US 2001956241 A 20010920

Priority Applications (No Type Date): CA 2320615 A 20000920

10/TI,PY,AY,AZ/17 (Item 12 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

014052272

Financial advisory system generating return scenarios for each asset class based on estimated future scenarios of one or more economic factors

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200157710	A2	20010809	WO 2001US3372	A	20010201	200159 B
AU 200133235	A	20010814	AU 200133235	A	20010201	200173
US 20020138386	A1	20020926	US 2000495982	A	20000201	200265
			US 2001904707	A	20010712	
EP 1264243	A2	20021211	EP 2001905344	A	20010201	200301
			WO 2001US3372	A	20010201	

Local Applications (No Type Date): WO 2001US3372 A 20010201; AU 200133235 A 20010201; US 2000495982 A 20000201; US 2001904707 A 20010712; EP 2001905344 A 20010201; WO 2001US3372 A 20010201

Priority Applications (No Type Date): US 2000495982 A 20000201; US 2001904707 A 20010712

10/TI,PY,AY,AZ/18 (Item 13 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

013933181

Portfolio accounting and risk managing method for computer system,

involves displaying the number of shares or contracts corresponding to each size risk value

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200075836	A2	20001214	WO 2000US15452	A	20000605	200144 B

Local Applications (No Type Date): WO 2000US15452 A 20000605

Priority Applications (No Type Date): US 99137690 P 19990604

10/TI,PY,AY,AZ/19 (Item 14 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

013851062

Risk metric determining method for portfolio of instruments, involves producing risk metric from associated probability and determined risk values for instrument, by retrieving stored risk values

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200075820	A2	20001214	WO 2000CA656	A	20000602	200135 B
AU 200053779	A	20001228	AU 200053779	A	20000602	200135
US 20010011243	A1	20010802	US 99323680	A	19990602	200147
			US 2001811684	A	20010320	
EP 1183635	A2	20020306	EP 2000938364	A	20000602	200224
			WO 2000CA656	A	20000602	
JP 2003521021	W	20030708	WO 2000CA656	A	20000602	200347
			JP 2001502023	A	20000602	

Local Applications (No Type Date): WO 2000CA656 A 20000602; AU 200053779 A 20000602; US 99323680 A 19990602; US 2001811684 A 20010320; EP 2000938364 A 20000602; WO 2000CA656 A 20000602; WO 2000CA656 A 20000602; JP 2001502023 A 20000602

Priority Applications (No Type Date): US 99323680 A 19990602; US 2001811684 A 20010320

10/TI,PY,AY,AZ/20 (Item 15 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

013843653

Business method for e.g. services, involves convincing entity of identified property and using existing web based connectivity and new network, so that users submit orders for different services online

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200115039	A1	20010301	WO 2000US22548	A	20000817	200134 B
AU 200067802	A	20010319	AU 200067802	A	20000817	200136

Local Applications (No Type Date): WO 2000US22548 A 20000817; AU 200067802 A 20000817

Priority Applications (No Type Date): US 99150014 P 19990820

10/TI,PY,AY,AZ/21 (Item 16 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

013536292

Portfolio presentation procedure involves displaying optimum portfolio with profit and loss simulation result of all or some scenarios

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2000293569	A	20001020	JP 9996995	A	19990402	200103 B
GB 2352541	A	20010131	GB 9928797	A	19991206	200108
US 20030004845	A1	20030102	US 99455916	A	19991207	200305

Local Applications (No Type Date): JP 9996995 A 19990402; GB 9928797 A

19991206; US 99455916 A 19991207  
Priority Applications (No Type Date): JP 9996995 A 19990402

10/TI,PY,AY,AZ/22 (Item 17 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

012598746

Financial advisory system comprises pricing module, factor module,  
financial product mapping module, tax module and protfolio optimizing  
module

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9928845	A1	19990610	WO 98US19952	A	19980923	199934 B
AU 9895062	A	19990616	AU 9895062	A	19980923	199945
US 6021397	A	20000201	US 97982942	A	19971202	200013
EP 1034497	A1	20000913	EP 98948502	A	19980923	200046
			WO 98US19952	A	19980923	
CN 1285065	A	20010221	CN 98812958	A	19980923	200131
JP 2001525577	W	20011211	WO 98US19952	A	19980923	200204
			JP 2000523622	A	19980923	
AU 759729	B	20030417	AU 9895062	A	19980923	200333

Local Applications (No Type Date): WO 98US19952 A 19980923; AU 9895062 A  
19980923; US 97982942 A 19971202; EP 98948502 A 19980923; WO 98US19952 A  
19980923; CN 98812958 A 19980923; WO 98US19952 A 19980923; JP 2000523622  
A 19980923; AU 9895062 A 19980923

Priority Applications (No Type Date): US 97982942 A 19971202

10/TI,PY,AY,AZ/23 (Item 18 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

012598745

Pricing module for financial advisory system

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9928844	A1	19990610	WO 98US19920	A	19980922	199934 B
AU 9895045	A	19990616	AU 9895045	A	19980922	199945
EP 1034496	A1	20000913	EP 98948482	A	19980922	200046
			WO 98US19920	A	19980922	
US 6125355	A	20000926	US 97982941	A	19971202	200051
CN 1285066	A	20010221	CN 98812959	A	19980922	200131
JP 2001525576	W	20011211	WO 98US19920	A	19980922	200204
			JP 2000523621	A	19980922	
AU 741993	B	20011213	AU 9895045	A	19980922	200210

Local Applications (No Type Date): WO 98US19920 A 19980922; AU 9895045 A  
19980922; EP 98948482 A 19980922; WO 98US19920 A 19980922; US 97982941 A  
19971202; CN 98812959 A 19980922; WO 98US19920 A 19980922; JP 2000523621  
A 19980922; AU 9895045 A 19980922

Priority Applications (No Type Date): US 97982941 A 19971202

10/TI,PY,AY,AZ/24 (Item 19 from file: 350)  
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012298343

On-line IP portfolio management and advice using private network or  
Internet - provides confidential access to client's own IP data including  
historic and future predicted costs, with facility of updating data and  
sending and receiving information via network

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
RD 417032	A	19990110	RD 98417032	A	19981220	199909 B



Local Applications (No Type Date): RD 98417032 A 19981220  
Priority Applications (No Type Date): RD 98417032 A 19981220

10/TI,PY,AY,AZ/25 (Item 20 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

011931839

Data processing system for implementing transaction management of auction-based trading - has trading control logic with protocol of trade sequences directed to implement trading commands from customers in pre-defined way corresponding to development of number of trade specific states

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
WO 9826363	A1	19980618	WO 97US22423	A	19971203	199830	B
AU 9855952	A	19980703	AU 9855952	A	19971203	199847	
EP 897565	A1	19990224	EP 97952310	A	19971203	199912	
			WO 97US22423	A	19971203		
US 5905974	A	19990518	US 96766733	A	19961213	199927	
CN 1216131	A	19990505	CN 97193786	A	19971203	199936	
NZ 332221	A	20011221	NZ 332221	A	19971203	200210	
			WO 97US22423	A	19971203		
AU 200226116	A	20020509	AU 9855952	A	19971203	200238	N
			AU 200226116	A	20020314		
AU 747761	B	20020523	AU 9855952	A	19971203	200245	
BR 9804371	A	20020709	BR 984371	A	19981009	200254	N
US 6560580	B1	20030506	US 96766733	A	19961213	200338	
			US 99294526	A	19990420		

Local Applications (No Type Date): WO 97US22423 A 19971203; AU 9855952 A 19971203; EP 97952310 A 19971203; WO 97US22423 A 19971203; US 96766733 A 19961213; CN 97193786 A 19971203; NZ 332221 A 19971203; WO 97US22423 A 19971203; AU 9855952 A 19971203; AU 200226116 A 20020314; AU 9855952 A 19971203; BR 984371 A 19981009; US 96766733 A 19961213; US 99294526 A 19990420

Priority Applications (No Type Date): US 96766733 A 19961213; AU 200226116 A 20020314; BR 984371 A 19981009; US 99294526 A 19990420

10/TI,PY,AY,AZ/26 (Item 21 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

011731034

Multiple account management system for property investment - has computer program that accepts individual preferences on portfolio composition, and accepts real-time data to compute current risk

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
FR 2752472	A1	19980220	FR 9610205	A	19960814	199814	B
US 5950175	A	19990907	US 94322505	A	19941014	199943	N
BE 1011671	A5	19991207	BE 96961	A	19961118	200004	N
IT 1305728	B	20010515	IT 96MI1720	A	19960807	200227	N

Local Applications (No Type Date): FR 9610205 A 19960814; US 94322505 A 19941014; BE 96961 A 19961118; IT 96MI1720 A 19960807

Priority Applications (No Type Date): FR 9610205 A 19960814; US 94322505 A 19941014; BE 96961 A 19961118; IT 96MI1720 A 19960807

10/TI,PY,AY,AZ/27 (Item 22 from file: 350)  
DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

011601599

Monitoring financial securities markets or securities - transforming security index values based on function based baseline and critical

values which is mapped to references, generating deviation indicator for each index value for analysis and display of average indicator

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9744754	A1	19971127	WO 97US8578	A	19970520	199802 B
AU 9731355	A	19971209	AU 9731355	A	19970520	199824
US 5946666	A	19990831	US 96652015	A	19960521	199942
EP 979473	A1	20000216	EP 97926640	A	19970520	200014
			WO 97US8578	A	19970520	
JP 2000511317	W	20000829	JP 97542666	A	19970520	200045
			WO 97US8578	A	19970520	
AU 727707	B	20001221	AU 9731355	A	19970520	200106

Local Applications (No Type Date): WO 97US8578 A 19970520; AU 9731355 A 19970520; US 96652015 A 19960521; EP 97926640 A 19970520; WO 97US8578 A 19970520; JP 97542666 A 19970520; WO 97US8578 A 19970520; AU 9731355 A 19970520

Priority Applications (No Type Date): US 96652015 A 19960521

10/TI,PY,AY,AZ/28 (Item 23 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

011187584

Financial securities trading method for determining approximate value of financial security - representing estimate of value of financial instrument for securities trading as multi-dimensional integral

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9707475	A1	19970227	WO 95US10363	A	19950815	199715 B
EP 845123	A1	19980603	EP 95928840	A	19950815	199826
			WO 95US10363	A	19950815	
JP 11510931	W	19990921	WO 95US10363	A	19950815	199950
			JP 97509227	A	19950815	
CA 2229144	C	20021231	CA 2229144	A	19950815	200307
			WO 95US10363	A	19950815	

Local Applications (No Type Date): WO 95US10363 A 19950815; EP 95928840 A 19950815; WO 95US10363 A 19950815; WO 95US10363 A 19950815; JP 97509227 A 19950815; CA 2229144 A 19950815; WO 95US10363 A 19950815

Priority Applications (No Type Date): WO 95US10363 A 19950815

10/TI,PY,AY,AZ/29 (Item 24 from file: 350)

DIALOG(R)File 350:(c) 2003 Thomson Derwent. All rts. reserv.

008928790

Financial instrument certification method - has statistical method to randomly choose uniquely coded certificates

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5083782	A	19920128				199207 B

Priority Applications (No Type Date): US 87118000 A 19871109; US 89305543 A 19890203; US 90537141 A 19900613; US 90608679 A 19901105

10/3,K/5 (Item 5 from file: 347)  
DIALOG(R)File 347:JAPIO  
(c) 2003 JPO & JAPIO. All rts. reserv.

05466840 \*\*Image available\*\*  
SCENARIO RETRIEVAL PROCESSING METHOD IN RISK ANALYSIS OF FINANCIAL ASSETS

PUB. NO.: 09-081640 [JP 9081640 A]  
PUBLISHED: March 28, 1997 (19970328)  
INVENTOR(s): NAKA ISAMU  
APPLICANT(s): HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan)  
APPL. NO.: 07-239487 [JP 95239487]  
FILED: September 19, 1995 (19950919)

SCENARIO RETRIEVAL PROCESSING METHOD IN RISK ANALYSIS OF FINANCIAL ASSETS

INTL CLASS: G06F-017/60 ; G06F-019/00; G06F-017/30

#### ABSTRACT

PROBLEM TO BE SOLVED: To retrieve a scenario for actualizing a maximum loss amount without putting burdens accompanying trials and errors by preparing data for indicating the correspondence of the plural scenarios set beforehand and profit-and-loss amounts...

...percent and stores it in a value-at-risk result storage part 114. In a scenario simulation calculation part 102, provided with assets data stored in a possessed assets data storage device 111 and scenario data stored in a scenario data storage device 113 are read and the profit and loss of an interest swap are calculated for the respective scenario data and stored in a scenario simulation result storage part 115. A scenario retrieval part 103 uses the set of the data and retrieves the scenario for actualizing a loss amount expressed by the value at risk calculated previously for financial assets under each probability.

10/3,K/22 (Item 17 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

012598746 \*\*Image available\*\*  
WPI Acc No: 1999-404852/199934  
XRPX Acc No: N99-301793

Financial advisory system comprises pricing module, factor module, financial product mapping module, tax module and protfolio optimizing module

Patent Assignee: FINANCIAL ENGINES INC (FINA-N)  
Inventor: BEKAERT G; JONES C L; MAGGIONCALDA J N; SCOTT J S; SHARPE W F; WATSON J G; GRENADIER S R; PARK R T  
Number of Countries: 083 Number of Patents: 007  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9928845	A1	19990610	WO 98US19952	A	19980923	199934 B
AU 9895062	A	19990616	AU 9895062	A	19980923	199945
US 6021397	A	20000201	US 97982942	A	19971202	200013
EP 1034497	A1	20000913	EP 98948502	A	19980923	200046
			WO 98US19952	A	19980923	
CN 1285065	A	20010221	CN 98812958	A	19980923	200131
JP 2001525577	W	20011211	WO 98US19952	A	19980923	200204
			JP 2000523622	A	19980923	
AU 759729	B	20030417	AU 9895062	A	19980923	200333

Priority Applications (No Type Date): US 97982942 A 19971202  
Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes  
 WO 9928845 A1 E 43 G06F-017/60  
 Designated States (National): AL AM AT AZ BA BB BG BR BY CA CH CN CU CZ  
 DE DK EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS  
 LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR  
 TT UA UG US UZ VN YU ZW  
 Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
 IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW  
 AU 9895062 A Based on patent WO 9928845  
 EP 1034497 A1 E G06F-017/60 Based on patent WO 9928845  
 Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LI LU  
 NL PT SE  
 CN 1285065 A G06F-017/60  
 JP 2001525577 W 66 G06F-017/60 Based on patent WO 9928845  
 AU 759729 B G06F-017/60 Previous Publ. patent AU 9895062  
 Based on patent WO 9928845

Abstract (Basic):

... returns for a set of core asset classes and a factor module  
 (310) predicts returns **scenarios**, while a financial product mapping  
 module (315) maps financial product returns onto the factor model...  
 ...forecasts future components of financial products total return to  
 facilitate tax effective investing and a **portfolio** optimizing module  
 (340) calculates the utility maximizing set of financial products under  
 restraints set by...  
 ... Advising user regarding feasible and optimum **portfolio**  
 allocation...  
 ...The drawing is a block diagram illustrating **various** analytical modules  
 according to one embodiment of present invention...  
 ... **Portfolio** optimizing module (340)  
 International Patent Class (Main): G06F-017/60

10/3,K/23 (Item 18 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2003 Thomson Derwent. All rts. reserv.

012598745 \*\*Image available\*\*  
 WPI Acc No: 1999-404851/199934  
 XRPX Acc No: N99-301792

**Pricing module for financial advisory system**  
 Patent Assignee: FINANCIAL ENGINES INC. (FINA-N)  
 Inventor: BEKAERT G; GRENADIER S R; JONES C L; SCOTT J S; WATSON J G  
 Number of Countries: 084 Number of Patents: 007  
 Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9928844	A1	19990610	WO 98US19920	A	19980922	199934 B
AU 9895045	A	19990616	AU 9895045	A	19980922	199945
EP 1034496	A1	20000913	EP 98948482	A	19980922	200046
			WO 98US19920	A	19980922	
US 6125355	A	20000926	US 97982941	A	19971202	200051
CN 1285066	A	20010221	CN 98812959	A	19980922	200131
JP 2001525576	W	20011211	WO 98US19920	A	19980922	200204
			JP 2000523621	A	19980922	
AU 741993	B	20011213	AU 9895045	A	19980922	200210

Priority Applications (No Type Date): US 97982941 A 19971202

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes  
 WO 9928844 A1 E 37 G06F-017/60  
 Designated States (National): AL AM AT AZ BA BB BG BR BY CA CH CN CU CZ  
 DE DK EE ES FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR  
 LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM

TR TT UA UG US UZ VN YU ZW  
 Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
 IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW  
 AU 9895045 A G06F-017/60 Based on patent WO 9928844  
 EP 1034496 A1 E G06F-017/60 Based on patent WO 9928844  
 Designated States (Regional): AT BE CH DE DK ES FI FR GB GR IE IT LI LU  
 NL PT SE  
 US 6125355 A G06F-017/60  
 CN 1285066 A G06F-017/60  
 JP 2001525576 W 53 G06F-017/60 Based on patent WO 9928844  
 AU 741993 B G06F-017/60 Previous Publ. patent AU 9895045  
 Based on patent WO 9928844

Abstract (Basic):

... including a term structure risk parameter and an equity correlation parameter. The pricing module generates **multiple scenarios** in step 230 for the asset priced for fixed-income **securities** and equity **securities** to the future  
 ... Independent claims are included for an asset **portfolio** analyzing system, for apparatus for pricing fixed-income **securities** and for a machine-readable medium with instruction data...  
 ...The drawing is a flow diagram for pricing income **securities** and equity **securities**

International Patent Class (Main): G06F-017/60

10/3,K/24 (Item 19 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2003 Thomson Derwent. All rts. reserv.

012298343

WPI Acc No: 1999-104449/199909

XRPX Acc No: N99-075381

On-line IP portfolio management and advice using private network or Internet - provides confidential access to client's own IP data including historic and future predicted costs, with facility of updating data and sending and receiving information via network

Patent Assignee: ANONYMOUS (ANON )

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
RD 417032	A	19990110	RD 98417032	A	19981220	199909 B

Priority Applications (No Type Date): RD 98417032 A 19981220

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
RD 417032	A	1	G06F-000/00	

On-line IP portfolio management and advice using private network or Internet...

...Abstract (Basic): the user interface to the database. The database includes actual or simulated action stages, or **scenarios**, based upon individual country IP requirements. Costs are associated with each action stage - both actual...

...ADVANTAGE - Provision for differing access levels to data (e.g. via personal IDs), for **multiple** presentation of data, e.g. in dynamic or graphic form, for schedule compilation from data...

...Title Terms: **PORTFOLIO** ;

International Patent Class (Main): G06F-000/00

10/3,K/26 (Item 21 from file: 350)

DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

011731034     \*\*Image available\*\*  
WPI Acc No: 1998-147944/199814  
XRPX Acc No: N98-117247

Multiple account management system for property investment - has  
computer program that accepts individual preferences on portfolio  
composition, and accepts real-time data to compute current risk

Patent Assignee: MERRILL LYNCH PIERCE FENNER & SMITH INC (MERR-N)

Inventor: AUSTIN C H; HAUSTIN H C

Number of Countries: 004 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
FR 2752472	A1	19980220	FR 9610205	A	19960814	199814 B
US 5950175	A	19990907	US 94322505	A	19941014	199943 N
BE 1011671	A5	19991207	BE 96961	A	19961118	200004 N
IT 1305728	B	20010515	IT 96MI1720	A	19960807	200227 N

Priority Applications (No Type Date): FR 9610205 A 19960814; US 94322505 A  
19941014; BE 96961 A 19961118; IT 96MI1720 A 19960807

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
FR 2752472	A1		12	G06F-017/60	
US 5950175	A		14	G06F-017/60	
BE 1011671	A5			G06F-000/00	
IT 1305728	B			H04L-000/00	

Multiple account management system for property investment...

...has computer program that accepts individual preferences on portfolio  
composition, and accepts real-time data to compute current risk

...Abstract (Basic): the participants can specify the limits, preferences  
and extent of investments in property in their portfolio .

...

...ADVANTAGE - Processes information related to property market to reduce  
risks of property investment, to update portfolio and to prepare  
alternative investment scenarios .

Title Terms: MULTIPLE ;

International Patent Class (Main): G06F-000/00 ...

... G06F-017/60

...Manual Codes (EPI/S-X): T01-J05A2

10/3,K/27 (Item 22 from file: 350)

DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

011601599     \*\*Image available\*\*  
WPI Acc No: 1998-018727/199802  
XRPX Acc No: N98-014211

Monitoring financial securities markets or securities - transforming  
security index values based on function based baseline and critical  
values which is mapped to references, generating deviation indicator for  
each index value for analysis and display of average indicator

Patent Assignee: EINSTEIN HEALTHCARE NETWORK ALBERT (EINS-N)

Inventor: DAGALUR S S; NEVO I; NEWMAN M; SALAH M

Number of Countries: 077 Number of Patents: 006

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9744754	A1	19971127	WO 97US8578	A	19970520	199802 B
AU 9731355	A	19971209	AU 9731355	A	19970520	199824

US 5946666 A 19990831 US 96652015 A 19960521 199942  
 EP 979473 A1 20000216 EP 97926640 A 19970520 200014  
 WO 97US8578 A 19970520  
 JP 2000511317 W 20000829 JP 97542666 A 19970520 200045  
 WO 97US8578 A 19970520  
 AU 727707 B 20001221 AU 9731355 A 19970520 200106

Priority Applications (No Type Date): US 96652015 A 19960521

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9744754 A1 E 62 G06F-155:00

Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU  
 CZ DE DK EE ES FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU  
 LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG  
 UZ VN YU

Designated States (Regional): AT BE CH DE DK EA ES FI FR GB GH GR IE IT  
 KE LS LU MC MW NL OA PT SD SE SZ UG

AU 9731355 A G06F-155/00 Based on patent WO 9744754

US 5946666 A G06F-017/60

EP 979473 A1 E G06F-017/60 Based on patent WO 9744754

Designated States (Regional): AT BE CH DE FR GB IE IT LI MC NL

JP 2000511317 W 48 G06F-017/60 Based on patent WO 9744754

AU 727707 B G06F-019/00 Previous Publ. patent AU 9731355

Based on patent WO 9744754

Monitoring financial securities markets or securities -

...Abstract (Basic): The appts. transforms (14) each value of several security index values associated with the system using a function dependent on a baseline and critical values of the index value. The function is mapped to a sequence of reference values...

...Relates to analysing changes and fluctuations in financial and commercial enterprises, and to analysing financial securities to provide a basis for future investment or divestiture...

International Patent Class (Main): G06F-017/60 ...

10/3,K/28 (Item 23 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2003 Thomson Derwent. All rts. reserv.

011187584 \*\*Image available\*\*

WPI Acc No: 1997-165509/199715

XRPX Acc No: N97-136257

Financial securities trading method for determining approximate value of financial security - representing estimate of value of financial instrument for securities trading as multi-dimensional integral

Patent Assignee: UNIV COLUMBIA NEW YORK (UYCO )

Inventor: PASKOV S; TRAUB J F; VANDERHOOF I T

Number of Countries: 021 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9707475	A1	19970227	WO 95US10363	A	19950815	199715 B
EP 845123	A1	19980603	EP 95928840	A	19950815	199826
			WO 95US10363	A	19950815	
JP 11510931	W	19990921	WO 95US10363	A	19950815	199950
			JP 97509227	A	19950815	
CA 2229144	C	20021231	CA 2229144	A	19950815	200307
			WO 95US10363	A	19950815	

Priority Applications (No Type Date): WO 95US10363 A 19950815

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9707475 A1 E 27 G06F-157/00

Designated States (National): CA JP KR SG

Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LU MC NL

PT SE  
 EP 845123 A1 E G06F-017/00 Based on patent WO 9707475  
 Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LI LU MC  
 NL PT SE  
 JP 11510931 W 50 G06F-017/60 Based on patent WO 9707475  
 CA 2229144 C E G06F-017/60 Based on patent WO 9707475

Financial securities trading method for determining approximate value  
 of financial security...

...representing estimate of value of financial instrument for  
 securities trading as multi-dimensional integral

...Abstract (Basic): The financial securities trading estimation method  
 involves providing a computer with financial security data, and  
 producing the approximate...

...for an estimated value of a financial security by numerically  
 integrating a multivariate integrand whose multi-dimensional integral  
 over a domain of integration represents the estimated value...

...at points in the domain of integration corresponding to points of a  
 low-discrepancy deterministic sequence, combining the integrand  
 values to produce the approximate value and producing the approximate  
 value as...

...USE - Setting initial offering price of financial instrument for e.g  
 securities trading, or in revaluation as economic factors e.g  
 interest rates...

...Title Terms: MULTI;

...International Patent Class (Main): G06F-017/60

10/3,K/29 (Item 24 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2003 Thomson Derwent. All rts. reserv.

008928790 \*\*Image available\*\*  
 WPI Acc No: 1992-056059/199207  
 XRPX Acc No: N92-042721

Financial instrument certification method - has statistical method to  
 randomly choose uniquely coded certificates

Patent Assignee: NILSSEN O K (NILS-I)

Inventor: NILSSEN O K

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5083782	A	19920128				199207 B

Priority Applications (No Type Date): US 87118000 A 19871109; US 89305543 A  
 19890203; US 90537141 A 19900613; US 90608679 A 19901105

Financial instrument certification method...

...Abstract (Basic): A bank, issues numerous uniquely coded certificates  
 to various individual entities in exchange for monetary value  
 received. The holder of each certificate is entitled...

...associated with periodic payments of a relatively modest income to the  
 holder of each of numerous individual certificates, a statistical  
 method is used. By way of this statistical method, a relatively few of  
 the numerous uniquely coded certificates are randomly chosen at the  
 end of each of a continuous sequence of time periods. All the income  
 attributable to all the issued certificates for the associated...

...Manual Codes (EPI/S-X): T01-J05A2



11/3,K/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

010344435 \*\*Image available\*\*  
WPI Acc No: 1995-246523/199532  
XRPX Acc No: N95-191426

Security settlement information communications system for security  
trading participants - uses databases storing delivery instruction sets  
and message generator for informing participants of changes to database  
Patent Assignee: THOMSON TRADING SERVICES INC (THOM-N); THOMSON FINANCIAL  
NETWORKS INC (THOM-N); THOMSON INFORMATION SERVICES INC (CSFC )  
Inventor: CARDWELL P R; DEAN H B; DOHERTY V D B; FLAHERTY P J; HAWKINS J G;  
PAUL L J; REEVES P T; DOHERTY D B; DOHERTY D B V  
Number of Countries: 061 Number of Patents: 013  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9518418	A1	19950706	WO 94US14558	A	19941228	199532 B
AU 9514385	A	19950717	AU 9514385	A	19941228	199544
US 5497317	A	19960305	US 93174231	A	19931228	199615
EP 737339	A1	19961016	WO 94US14558	A	19941228	199646
			EP 95905985	A	19941228	
BR 9408505	A	19970805	BR 948505	A	19941228	199738
			WO 94US14558	A	19941228	
JP 9508481	W	19970826	WO 94US14558	A	19941228	199744
			JP 95518105	A	19941228	
KR 97700340	A	19970108	WO 94US14558	A	19941228	199801
			KR 96703492	A	19960628	
AU 685571	B	19980122	AU 9514385	A	19941228	199811
MX 186752	B	19971029	MX 95168	A	19950102	199901
CA 2180135	C	19991221	CA 2180135	A	19941228	200020
			WO 94US14558	A	19941228	
RU 2125292	C1	19990120	RU 96116976	A	19941228	200020
KR 212869	B1	19990802	WO 94US14558	A	19941228	200104
			KR 96703492	A	19960628	
CN 1153562	A	19970702	CN 94194947	A	19941228	200306
			WO 94US14558	A	19941228	

Priority Applications (No Type Date): US 93174231 A 19931228

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
WO 9518418	A1	E	48	G06F-017/60	
Designated States (National): AM AT AU BB BG BR BY CA CH CN CZ DE DK EE ES FI GB GE HU JP KE KG KP KR KZ LK LR LT LU LV MD MG MN MW NL NO NZ PL PT RO RU SD SE SI SK TJ TT UA UZ VN Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT KE LU MC MW NL OA PT SD SE SZ					
AU 9514385	A			G06F-017/60	Based on patent WO 9518418
US 5497317	A		13	G06F-017/60	
EP 737339	A1	E	48	G06F-017/60	Based on patent WO 9518418
Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL PT SE					
BR 9408505	A			G06F-017/60	Based on patent WO 9518418
JP 9508481	W		41	G06F-017/60	Based on patent WO 9518418
KR 97700340	A			G06F-017/60	Based on patent WO 9518418
AU 685571	B			G06F-017/60	Previous Publ. patent AU 9514385 Based on patent WO 9518418
MX 186752	B			G06F-015/060	
CA 2180135	C	E		G06F-017/60	Based on patent WO 9518418
RU 2125292	C1			G06F-017/60	
KR 212869	B1			G06F-017/60	
CN 1153562	A			G06F-017/60	Based on patent WO 9518418

...Abstract (Basic): a custodian delivery instruction set specified by the  
instruction. The database also includes cross-reference tables  
between custodians and account identifiers and between account

- identifiers and broker internal account numbers for...
- ...Abstract (Equivalent): information about a plurality of investor accounts stored on said second database according to account identifiers...
- ...a custodian receiver for initiating an exchange of funds and securities according to the broker delivery instruction received from said first database transmitter to settle the...

File 348:EUROPEAN PATENTS 1978-2003/Jul W03  
(c) 2003 European Patent Office  
File 349:PCT FULLTEXT 1979-2002/UB=20030807,UT=20030731  
(c) 2003 WIPO/Univentio

Set	Items	Description
S1	28207	(FINANCIAL OR MONETARY OR INVESTMENT) (2W) (INSTRUMENT? ? OR ASSET? ?) OR SECURITIES OR CAPITAL OR HOLDINGS OR PORTFOLIO?
S2	1203077	MANY OR MULTIPL? OR MULTI OR SEVERAL OR NUMEROUS? OR PLURAL? OR MYRIAD OR VARIOUS? OR VARIED OR DUAL? OR (MORE OR GREATER) () THAN() (1 OR ONE)
S3	204974	PARTY OR PARTIES OR INVESTOR? OR PURCHASER? OR BUYER? OR C-USTOMER? OR OWNER? ?
S4	17276	CO()OWN? OR (MULTIPARTY OR COMMON?? OR SHARED OR SHARING OR JOINT OR COOPERATIVE?) (2W) (OWNED OR OWNERSHIP OR TITLEHOLDER? ?) OR S2(1W)S3
S5	340098	SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR) () ANALY?
S6	976996	MODEL? OR VISUAL? OR PICTURE? ? OR GRAPHIC? OR IMAGE? OR TABLE? OR TABULAR? OR SPREADSHEET? OR REPRESENTATION? OR DEPICT? OR PORTRAY? OR SYMBOL?
S7	198	S1 AND S4 AND (S5(5N)S6)
S8	12	(S1(10N)S4) AND (S5(5N)S6)
S9	78	S7 AND (IC=(G06F-000/00 OR G06F-017/60))
S10	25	(S1(S)S4) (S) (S5(5N)S6)

8/TI,PY,AY,AZ,AX/1 (Item 1 from file: 348)  
DIALOG(R)File 348:(c) 2003 European Patent Office. All rts. reserv.

01262526

Method and apparatus for price setting  
Verfahren und Apparat zum Festlegen von Preisen  
Methode et appareil pour fixer les prix  
PATENT (CC, No, Kind, Date): EP 1089209 A2 010404 (Basic)  
EP 1089209 A3 030702  
APPLICATION (CC, No, Date): EP 2000650128 000911;  
PRIORITY (CC, No, Date): AU 99PQ3189 991001

8/TI,PY,AY,AZ,AX/2 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00988008

FINANCIAL PORTFOLIO RISK MANAGEMENT  
GESTION DU RISQUE DU PORTEFEUILLE FINANCIER  
Application: WO 2002US25491 20020809  
Publication Year: 2003

8/TI,PY,AY,AZ,AX/3 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00859513

A METHOD FOR DIRECTING AND EXECUTING CERTIFIED TRADING INTERESTS  
PROCEDE DE COMMANDE ET D'EXECUTION D'INTERETS CERTIFIES SUR OPERATIONS  
Application: WO 2001US17519 20010531  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/4 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00853835

TECHNIQUES FOR INVESTING IN PROXY ASSETS  
TECHNIQUES D'INVESTISSEMENT DANS LES ACTIFS DE SUBSTITUTION  
Application: WO 2001US40708 20010509  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/5 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND  
METHOD THEREOF  
GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT  
DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE  
Application: WO 2000US32324 20001122  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/6 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00803563

METHOD AND SYSTEM FOR EXECUTING FINANCIAL TRANSACTIONS VIA A COMMUNICATION  
MEDIUM  
PROCEDE ET SYSTEME D'EXECUTION DE TRANSACTIONS FINANCIERES VIA UN MOYEN DE  
COMMUNICATION  
Application: WO 2000US31272 20001114  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/7 (Item 6 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00800759  
FINANCIAL PORTFOLIO RISK MANAGEMENT  
GESTION DES RISQUES DES PORTEFEUILLES FINANCIERS  
Application: WO 2000US30423 20001101  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/8 (Item 7 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00797970  
INVESTMENT ADVICE SYSTEMS AND METHODS  
SYSTEMES ET PROCEDES DE CONSEIL EN INVESTISSEMENTS  
Application: WO 2000US29450 20001025  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/9 (Item 8 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00792467  
METHOD AND APPARATUS FOR PRICE SETTING  
PROCEDE ET DISPOSITIF D'ETABLISSEMENT DE PRIX  
Application: WO 2000AU1134 20000918  
Publication Year: 2001

8/TI,PY,AY,AZ,AX/10 (Item 9 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00733730  
AUTOMATED FINANCIAL SCENARIO MODELING AND ANALYSIS TOOL HAVING AN  
INTELLIGENT GRAPHICAL USER INTERFACE  
OUTIL DE MODELISATION ET D'ANALYSE DE SCENARIO FINANCIER AUTOMATIQUE A  
INTERFACE GRAPHIQUE D'UTILISATEUR INTELLIGENTE  
Application: WO 2000US2776 20000203  
Publication Year: 2000

8/TI,PY,AY,AZ,AX/11 (Item 10 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00579181  
METHOD FOR OBTAINING AND ALLOCATING INVESTMENT INCOME BASED ON THE  
CAPITALIZATION OF INTELLECTUAL PROPERTY  
PROCEDE SERVANT A OBTENIR ET A AFFECTER LE REVENU D'UN INVESTISSEMENT BASE  
SUR LA CAPITALISATION DE LA PROPRIETE INTELLECTUELLE  
Application: WO 2000US760 20000111  
Publication Year: 2000

8/TI,PY,AY,AZ,AX/12 (Item 11 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00492238  
PROXY ASSET DATA PROCESSOR  
PROCESSEUR DE DONNEES D'ACTIFS FICTIFS  
Application: WO 98US22224 19981020  
Publication Year: 1999

8/3,K/12 (Item 11 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00492238 \*\*Image available\*\*

PROXY ASSET DATA PROCESSOR

PROCESSEUR DE DONNEES D'ACTIFS FICTIFS

Patent Applicant/Assignee:

CASE SHILLER WEISS INC,

Inventor(s):

WEISS Allan N,

SHILLER Robert J,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9923590 A1 19990514

Application: WO 98US22224 19981020 (PCT/WO US9822224)

Priority Application: US 97961121 19971030

Designated States: AL AU BA BB BG BR CA CN CU CZ EE GE HR HU ID IL IS JP KP

KR LC LK LR LT LV MG MK MN MX NO NZ PL RO SG SI SK SL TR TT UA UZ VN YU

GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK

ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE

SN TD TG

Publication Language: English

Fulltext Word Count: 14040

Fulltext Availability:

Detailed Description

Detailed Description

... across cities (horizontal hedging). Adjusting the exposure to their own city can be a useful **portfolio** management device, since **many investors** are not overinvested in real estate per se but are overexposed to real estate in...Proxy Asset Bundle is decomposable later may facilitate its marketing to the public today.

- 38

**Table 2** below shows an **outline** of the functions of the Proxy Asset Data

Processor. The **table** gives an **outline** of the basic steps that this data processor must handle, on a continuing or daily...

10/TI,PY,AZ/1 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00911764

SYSTEMS AND METHODS FOR DETECTION ASSAY ORDERING, DESIGN, PRODUCTION,  
INVENTORY, SALES AND ANALYSIS FOR USE WITH OR IN A PRODUCTION FACILITY  
SYSTEMES ET PROCEDES DE COMMANDE, DE CONCEPTION, DE PRODUCTION,  
D'INVENTAIRE, DE VENTE ET D'ANALYSE DE DOSAGES DE DETECTION, POUVANT  
ETRE UTILISES AVEC OU DANS UN MOYEN DE PRODUCTION  
Publication Year: 2002

10/TI,PY,AZ/2 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00895541

METHODS AND SYSTEMS FOR CREATING AND MANAGING CAPITAL ASSET BUSINESS  
EXCHANGE  
PROCEDES ET SYSTEMES PERMETTANT DE CREER ET DE GERER DES ECHANGES D'ACTIFS  
IMMOBILISES  
Publication Year: 2002

10/TI,PY,AZ/3 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00814140

A METHOD FOR A VIRTUAL TRADE FINANCIAL FRAMEWORK  
PROCEDE DESTINE A UN SCHEMA FINANCIER DE COMMERCE VIRTUEL  
Publication Year: 2001

10/TI,PY,AZ/4 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND  
METHOD THEREOF  
GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT  
DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE  
Publication Year: 2001

10/TI,PY,AZ/5 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00806382

METHOD FOR AFFORDING A MARKET SPACE INTERFACE BETWEEN A PLURALITY OF  
MANUFACTURERS AND SERVICE PROVIDERS AND INSTALLATION MANAGEMENT VIA A  
MARKET SPACE INTERFACE  
PROCEDE DE MISE A DISPOSITION D'UNE INTERFACE D'ESPACE DE MARCHÉ ENTRE UNE  
PLURALITE DE FABRICANTS ET DES FOURNISSEURS DE SERVICES ET GESTION  
D'UNE INSTALLATION VIA UNE INTERFACE D'ESPACE DE MARCHÉ  
Publication Year: 2001

10/TI,PY,AZ/6 (Item 6 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00802534

ANY-TO-ANY COMPONENT COMPUTING SYSTEM  
SYSTEME INFORMATIQUE A COMPOSANTS TOUTE CATEGORIE  
Publication Year: 2001

10/TI,PY,AZ/7 (Item 7 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00797970

INVESTMENT ADVICE SYSTEMS AND METHODS  
SYSTEMES ET PROCEDES DE CONSEIL EN INVESTISSEMENTS  
Publication Year: 2001

10/TI,PY,AZ/8 (Item 8 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00792484

STRUCTURED FINANCE TRANSACTION ANALYTIC SYSTEM AND METHOD  
PROCEDE ET SYSTEME ANALYTIQUES DE TRANSACTIONS FINANCIERES STRUCTUREES  
Publication Year: 2001

10/TI,PY,AZ/9 (Item 9 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784185

A SYSTEM AND METHOD FOR STREAM-BASED COMMUNICATION IN A COMMUNICATION  
SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION FOURNISSANT UN SYSTEME DE  
COMMUNICATION EN CONTINU DANS UN ENVIRONNEMENT DE CONFIGURATIONS DE  
SERVICES DE COMMUNICATION  
Publication Year: 2001

10/TI,PY,AZ/10 (Item 10 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784143

SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR LOAD BALANCING REQUESTS AMONG  
SERVERS  
SYSTEME, PROCEDE ET ARTICLE POUR EQUILIBREUR DE CHARGE DANS UN  
ENVIRONNEMENT DE STRUCTURES DE SERVICES  
Publication Year: 2001

10/TI,PY,AZ/11 (Item 11 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784140

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GLOBALLY ADDRESSABLE  
INTERFACE IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION S'APPLIQUANT DANS UN  
ENVIRONNEMENT DE STRUCTURE DE SERVICES DE COMMUNICATIONS VIA UNE  
INTERFACE ADRESSABLE GLOBALEMENT  
Publication Year: 2001

10/TI,PY,AZ/12 (Item 12 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784139

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A SELF-DESCRIBING STREAM IN  
A COMMUNICATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION DESTINES A UN FLUX  
D'AUTODESCRIPTEURS DANS UN ENVIRONNEMENT DE MODELES DE SERVICES DE  
COMMUNICATION  
Publication Year: 2001

10/TI,PY,AZ/13 (Item 13 from file: 349)

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00784138

SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR A REQUEST BATCHER IN A  
TRANSACTION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR MODULE DE MISE EN LOTS DES  
REQUETES DANS UN ENVIRONNEMENT CARACTERISE PAR DES SERVICES  
TRANSACTIONNELS

Publication Year: 2001

10/TI,PY,AZ/14 (Item 14 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784137

SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR DISTRIBUTED GARBAGE  
COLLECTION IN ENVIRONMENT SERVICES PATTERNS  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION EN MATIERE DE RECUPERATION  
D'ESPACE REPARTI DANS DES MOTIFS DE SERVICES D'ENVIRONNEMENT

Publication Year: 2001

10/TI,PY,AZ/15 (Item 15 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784136

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR BUSINESS LOGIC SERVICES  
PATTERNS IN A NETCENTRIC ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION POUR STRUCTURES DE SERVICES DE  
LOGIQUE DE COMMERCE DANS UN ENVIRONNEMENT S'ARTICULANT AUTOUR DE  
L'INTERNET

Publication Year: 2001

10/TI,PY,AZ/16 (Item 16 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784135

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A LOCALLY ADDRESSABLE  
INTERFACE IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION METTANT EN OEUVRE UNE INTERFACE  
ADRESSABLE LOCALEMENT DANS UN ENVIRONNEMENT DE CONFIGURATIONS DE  
SERVICES DE COMMUNICATION

Publication Year: 2001

10/TI,PY,AZ/17 (Item 17 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784132

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A LEGACY WRAPPER IN A  
COMMUNICATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET DISPOSITIF POUR MODULE D'HABILLAGE EXISTANT DANS UN  
ENVIRONNEMENT DE SCHEMAS DE SERVICES DE COMMUNICATION

Publication Year: 2001

10/TI,PY,AZ/18 (Item 18 from file: 349)

DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784131

A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A MULTI-OBJECT FETCH  
COMPONENT IN AN INFORMATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR COMPOSANT DE RECUPERATION  
MULTI-OBJET DANS UN ENVIRONNEMENT CARACTERISE PAR DES SERVICES  
D'INFORMATIONS

Publication Year: 2001

10/TI,PY,AZ/19 (Item 19 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784126  
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR AN EXCEPTION RESPONSE TABLE  
IN ENVIRONMENT SERVICES PATTERNS  
SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION DESTINES A UNE TABLE DE REPOSE  
D'EXCEPTION DANS DES CONFIGURATIONS DE SERVICES D'ENVIRONNEMENT  
Publication Year: 2001

10/TI,PY,AZ/20 (Item 20 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784125  
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PIECEMEAL RETRIEVAL IN AN  
INFORMATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION DESTINES A LA RECHERCHE  
FRAGMENTAIRE DANS UN ENVIRONNEMENT DE MODELES DE SERVICES  
D'INFORMATIONS  
Publication Year: 2001

10/TI,PY,AZ/21 (Item 21 from file: 349)  
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00784124  
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR A REQUEST SORTER IN A  
TRANSACTION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION APPLIQUES DANS UN TRIEUR DE  
REQUETES D'UN ENVIRONNEMENT DE STRUCTURES DE SERVICES DE TRANSACTIONS  
Publication Year: 2001

10/TI,PY,AZ/22 (Item 22 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00777021  
A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR AN E-COMMERCE BASED USER  
FRAMEWORK DESIGN FOR MAINTAINING USER PREFERENCES, ROLES AND DETAILS  
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE UTILISES EN COMMERCE ELECTRONIQUE  
POUR LA CONCEPTION DE STRUCTURES D'UTILISATEURS DESTINEES A PRESERVER  
LES PREFERENCES, ROLES ET DETAILS DES UTILISATEURS  
Publication Year: 2001

10/TI,PY,AZ/23 (Item 23 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00774519  
AUTOMATED SYSTEM FOR CONDITIONAL ORDER TRANSACTIONS IN SECURITIES OR OTHER  
ITEMS IN COMMERCE  
SYSTEME AUTOMATIQUE DE NEGOCIATION CONDITIONNELLE DE VALEURS MOBILIERES OU  
D'AUTRES EFFETS DE COMMERCE  
Publication Year: 2001

10/TI,PY,AZ/24 (Item 24 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00761424  
A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PHASE DELIVERY OF  
COMPONENTS OF A SYSTEM REQUIRED FOR IMPLEMENTATION OF TECHNOLOGY  
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE DESTINES A LA FOURNITURE PAR PHASES

DE COMPOSANTS D'UN SYSTEME NECESSAIRES A L'APPLICATION D'UNE TECHNIQUE  
Publication Year: 2000

10/TI,PY,AZ/25 (Item 25 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00733730  
AUTOMATED FINANCIAL SCENARIO MODELING AND ANALYSIS TOOL HAVING AN  
INTELLIGENT GRAPHICAL USER INTERFACE  
OUTIL DE MODELISATION ET D'ANALYSE DE SCENARIO FINANCIER AUTOMATIQUE A  
INTERFACE GRAPHIQUE D'UTILISATEUR INTELLIGENTE  
Publication Year: 2000

Set	Items	Description
S1	2230	(FINANCIAL OR MONETARY OR INVESTMENT) (2W) (INSTRUMENT? ? OR ASSET? ?) OR SECURITIES OR CAPITAL OR HOLDINGS OR PORTFOLIO?
S2	45972	MANY OR MULTIPL? OR MULTI OR SEVERAL OR NUMEROUS? OR PLURAL? OR MYRIAD OR VARIOUS? OR VARIED OR DUAL? OR (MORE OR GREATER) () THAN() (1 OR ONE)
S3	21010	PARTY OR PARTIES OR INVESTOR? OR PURCHASER? OR BUYER? OR CUSTOMER? OR OWNER? ?
S4	637	CO()OWN? OR (MULTIPARTY OR COMMON?? OR SHARED OR SHARING OR JOINT OR COOPERATIVE?) (2W) (OWNED OR OWNERSHIP OR TITLEHOLDER? ? OR HOLDER? OR HELD) OR S2(1W)S3
S5	2654	SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR) () ANALY?
S6	37675	MODEL? OR VISUAL? OR PICTURE? ? OR GRAPHIC? OR IMAGE? OR TABLE? OR TABULAR? OR SPREADSHEET? OR REPRESENTATION? OR DEPICT? OR PORTRAY?
S7	0	S S1 AND S4 AND (S5 OR S6)
S8	22	S1 AND S4
S9	15	S8 NOT PY>1999
S10	29	CO()OWN? OR (MULTIPARTY OR COMMON?? OR SHARED OR SHARING OR JOINT OR COOPERATIVE? OR (S2(1W)S3)) (2W) (OWNED OR OWNER? OR TITLEHOLDER? ? OR HOLDER? OR HELD)
S11	0	S1 AND S10
S12	6	S1 AND (S5(5N)S6)
S13	3	S12 NOT PY>1999

9/3,K/1

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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02516198 DOCUMENT TYPE: Company

Vividus Corp (516198)  
378 Cambridge Ave #I  
Palo Alto, CA 94306 United States  
HOMEPAGE: <http://www.vividus.com>

RECORD TYPE: Directory

CONTACT: Sales Department

ORGANIZATION TYPE: Corporation  
STATUS: Not Available

NUMBER OF EMPLOYEES: 12  
SALES: NA

DATE FOUNDED: 1990  
REVISION DATE: 19980130

...of Ames Cornish and Wendy Whitlock Cornish in 1990, it is funded by both venture **capital** firms and a number of private investors, including Jean-Louis Gasse. Vividus's first product...

...multimedia marketplace while managing presentations and multimedia marketing groups at Apple Computer. Having spoken with **many customers** who were frustrated by the lack of accessible presentation animation software, he saw a need...

9/3,K/2

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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01098086 DOCUMENT TYPE: Product

PRODUCT NAME: PetrisWINDS NOW! (098086)

Petris Technology Inc (722413)  
1900 St James Pl #700  
Houston, TX 77056 United States

RECORD TYPE: Directory

CONTACT: Sales Department

REVISION DATE: 020822

...PetrisWINDS NOW! includes the PetrisWINDS Enterprise data management and integration system, along with applications from **multiple third-party** vendors. The system also can be installed as a standalone program behind corporate firewalls. Employing the PetrisWINDS NOW! application service provider (ASP) system, companies can access essential software while reducing **capital** expenditures. Employees can access applications from remote locations. Access is controlled with a password security...

9/3,K/3

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00120536 DOCUMENT TYPE: Review

PRODUCT NAMES: ACT! 2000 Windows 9X & NT (019253)

TITLE: Act! courts small sales teams: New version fuels sales success and...

AUTHOR: Apicella, Mario

SOURCE: InfoWorld, v21 n49 p91(2) Dec 6, 1999

ISSN: 0199-6649

HOMEPAGE: <http://www.infoworld.com>

RECORD TYPE: Review

REVIEW TYPE: Review

GRADE: B

REVISION DATE: 20010430

...marks overall, especially for extremely user-friendly design; a versatile user interface; easy integration with multiple third-party products; and sales forecasting and lead management features. However, testers found setup and administration of...  
...administration and sales monitoring omissions might emerge. With ACT 2000, users can easily manage a portfolio of contacts, flexibly group people in categories, and streamline communications using multiple options.

9/3,K/4

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.

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00120251

DOCUMENT TYPE: Review

PRODUCT NAMES: Online Stock Trading (837407); Stock Brokers (830691)

TITLE: Online Trade-offs

AUTHOR: Marks, Robert

SOURCE: FamilyPC, v6 n10 p80(7) Oct 1999

ISSN: 1076-7754

HOMEPAGE: <http://www.family.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20000130

...increasing number of online investors has increased market volatility and created a situation in which many investors will lose too much money when the market falls, as it will. Therefore, to mitigate...

...if the account balance drops below the firm's margin requirement, the broker can sell securities without consulting the holder. Competition among online trading companies has resulted in lower commission rates...

9/3,K/5

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.

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00120241

DOCUMENT TYPE: Review

PRODUCT NAMES: Online Stock Trading (837407)

TITLE: Online Investing: Trends, Tools, & Trading Tips

AUTHOR: Germain, Jack M

SOURCE: LAPTOP Buyer's Guide & Handbook, v18 n19 p114(6) Oct 1999

ISSN: 2089-036X

HOMEPAGE: <http://www.bedfordmags.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20000130

...10 online investors lose money, while Internet brokers are making fortunes. One expert says that many autonomous investors simply are not knowledgeable enough to handle the built-in risks involved in the stock...

...sent to a Web site is guaranteed against loss from theft or bankruptcy via the Securities Investor Protection Corporation, and Web sites use the most advanced encryption to ensure protection against...

9/3,K/6

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.

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00120133

DOCUMENT TYPE: Review

PRODUCT NAMES: Museums & Galleries (831859); Internet Marketing (835552)

TITLE: What Am I Bid For My Botticelli?

AUTHOR: Mitchell, Dan

SOURCE: Industry Standard, v2 n28 p66(2) Sep 27, 1999

ISSN: 1098-9196

HOMEPAGE: <http://www.thestandard.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20010330

...castings of the 24-foot horse sculpture designed, but never built, by Leonardo da Vinci. Several buyers who will buy sight unseen have expressed interest, and traffic to Guild doubled the day...

...artists and availability, and often travel to view art. Bruce Dunlevie, a partner for Benchmark Capital, a venture capital company that has invested in Guild, says moving art sales to the Web will reduce...

9/3,K/7

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.

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00120116

DOCUMENT TYPE: Review

PRODUCT NAMES: Venture Capital (839612)

TITLE: Got Money?

AUTHOR: Freedman, David H

SOURCE: Inc., v21 n12 p40(9) Sep 1999

ISSN: 0162-8968

HOMEPAGE: <http://www.inc.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20000730

PRODUCT NAMES: Venture Capital (

A discussion of the availability of seed capital via the Internet looks at SolarAttic, a company with increasing revenues that has not been...  
...funding it. Therefore, SolarAttic is attempting to go public by using the Internet to find many small investors. The Internet could turn out to be the ideal way to fund very low cost...

DESCRIPTORS: Company Valuation; Internet; Internet Shopping; Loan Processing; Small Business; Venture Capital

9/3,K/8

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00120081 DOCUMENT TYPE: Review

PRODUCT NAMES: Company--Webvan Group Inc (868655)

TITLE: E-Com Meets Logistical Web  
AUTHOR: Cone, Edward  
SOURCE: Interactive Week, v6 n30 p25(2) Jul 26, 1999  
ISSN: 1078-7259  
HOMEPAGE: <http://www.interactive-week.com>

RECORD TYPE: Review  
REVIEW TYPE: Company

REVISION DATE: 20020703

...software developed for use in the first warehouse. The new warehouses will have to serve many more customers than the 10,000 reported to be serviced by the first. According to Webvan, the...

...not have that much money, it has powerful backing from CBS, Knight Ridder, and Sequoia Capital, in addition to close ties with Wall Street.

9/3,K/9

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00113696 DOCUMENT TYPE: Review

PRODUCT NAMES: Venture Capital (839612)

TITLE: An Online Angel Is Hard to Find  
AUTHOR: Cleaver, Joanne  
SOURCE: Small Business Computing, v3 n12 p49(2) Dec 1998  
ISSN: 1529-5117  
HOMEPAGE: <http://www.smalloffice.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

REVISION DATE: 20000730

PRODUCT NAMES: Venture Capital (

...the Internet has to help those looking for start-up funding 'angels,' such as Angel Capital Electronic Network (ACE-NET) from the Small Business Administration and garage.com. ACE-NET is...  
...potential candidate companies, and the security and privacy ACE-NET provides is very appealing to many angel investors. garage.com is a



Palo Alto-based **capital** -funding conduit company that already has thousands of angels and entrepreneurs listed and is headed...

DESCRIPTORS: Government; Information Retrieval; Internet; Loan Processing;  
Small Business; Venture **Capital**

9/3,K/10

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00108145 DOCUMENT TYPE: Review

PRODUCT NAMES: Company--WhoWhere Inc (865834)

TITLE: **Creating Scalable Sites Using Homegrown Tools**  
AUTHOR: Hesseldahl, Arik  
SOURCE: Internet World, v4 n19 p28(2) May 18, 1998  
ISSN: 1097-8291  
HOMEPAGE: <http://www.iw.com>

RECORD TYPE: Review  
REVIEW TYPE: Company

REVISION DATE: 20020730

...sites logged 7.6 million unique visitors. Because of this, developers were forced to modify **many** third-**party** products. A load balancing application was among those that WhoWhere had to create. In addition...

...sites, WhoWhere hired a contract to monitor the site from 49 locations worldwide. WhoWhere's **holdings** include a phone and e-mail directory in addition to free Web-based e-mail...

9/3,K/11

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00106049 DOCUMENT TYPE: Review

PRODUCT NAMES: **Financial Planning** (836656)

TITLE: **Web investment pays off**  
AUTHOR: Wildemuth, Scott  
SOURCE: Datamation, v44 n1 p115(3) Dec/Jan 1998  
ISSN: 0011-6963  
HOMEPAGE: <http://www.datamation.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

REVISION DATE: 20000330

Smith Barney, the investment firm, can provide **portfolio** data and help parents set up a college fund for a child, as can Dean...

...If, for example, the user wants to consider adding Cisco Systems to his or her **portfolio**, the stock can be added to The Watch List, and the user can do a...

...task can be considered part of the account representative's responsibility, given the fact that **many** stock **investors** now do their own research, failing to provide the feature will not stop this trend...

DESCRIPTORS: Financial Planning; Information Retrieval; Internet;  
Investment Analysis; Personal Finance; **Portfolio** Management; Stock  
Market

9/3,K/12

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00105939 DOCUMENT TYPE: Review

PRODUCT NAMES: Datastream (688738); Reuters Business Briefing (632236)

TITLE: An emerging electronic market

AUTHOR: Gibson, Paul

SOURCE: Information World Review, v131 p41(2) Dec 1997

ISSN: 0950-9879

HOME PAGE: <http://www.iwr.co.uk>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20030625

...a high growth rate. Other methods of defining an emerging market include the need for **capital**, which in emerging markets emerges from under-developed financial systems. Sometimes, as in the case...

...be redefined as non-emerging markets because they have developed a higher level of GDP. **Many** third- **party** vendors provide data on emerging markets, but most of one expert's research material comes...

9/3,K/13

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00072142 DOCUMENT TYPE: Review

PRODUCT NAMES: EXPO (316725); MVLink (541168); Wingz (702269);  
Microsoft Windows NT (347973)

TITLE: Orphaned Spreadsheets Race for Real Time Market

AUTHOR: Duffy, Maureen Nevin

SOURCE: Wall Street & Technology, v12 n5 p14(3) Nov 1994

ISSN: 1060-989X

HOME PAGE: <http://www.wallstreetandtech.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20021125

A **securities** trader uses Leading Market's Expo for real-time market data and time series analysis...

...Arts purchased Lotus's real-time engine, but a gap in support still exists for **many** financial **customers**. To meet the need, spreadsheet-real-time engine products with development functions began to emerge...

DESCRIPTORS: Investment Analysis; Real Time Data Acquisition; **Securities**  
; Stock Brokers; Stock Market; Time Series; Windows NT/2000

9/3,K/14

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00066697 DOCUMENT TYPE: Review

PRODUCT NAMES: Company--Hewlett-Packard Co (850659)

TITLE: OpenView Deal to Widen AT&T Mgmt. Portfolio  
AUTHOR: Duffy, Jim  
SOURCE: Network World, v11 n25 p1(2) Jun 20, 1994  
ISSN: 0887-7661  
HOMEPAGE: <http://www.nwfusion.com>

RECORD TYPE: Review  
REVIEW TYPE: Company

REVISION DATE: 20020703

TITLE: OpenView Deal to Widen AT&T Mgmt. Portfolio

...StarSentry platform in favor of OpenView. The move presents many more opportunities for users because **several third-party** products exist for OpenView, while few are available for StarSentry.

9/3,K/15

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00062940 DOCUMENT TYPE: Review

PRODUCT NAMES: PORTIA (234265)

TITLE: Portia Keeps The Faith  
AUTHOR: Corcella, Karen  
SOURCE: Wall Street & Technology, v11 n11 p52(3) Mar 1994  
ISSN: 1060-989X  
HOMEPAGE: <http://www.wallstreetandtech.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

REVISION DATE: 20020516

...important in making the product survive. Thomson's strong position is a big influence in **many buyers** 'decisions to buy PORTIA, because they want to go with a company that can adapt...

DESCRIPTORS: IBM PC & Compatibles; Investment Management; **Portfolio**  
Management; Stock Market; Windows

13/3,K/1

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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01155802 DOCUMENT TYPE: Product

PRODUCT NAME: Microsoft Project Professional 2002 (155802)

Microsoft Corp (112127)  
1 Microsoft Way  
Redmond, WA 98052-6399 United States  
TELEPHONE: (425) 882-8080

RECORD TYPE: Directory

CONTACT: Sales Department

REVISION DATE: 20030507

...members can access and edit project information. Microsoft Project Professional 2002 includes real-time reporting, **scenario** analysis, and **modeling** tools. Its Project Data Service (PDS) feature employs XML and SOAP technologies in filtering content...

...resources to projects. Microsoft Project Professional now integrates with Visio (R). The program's new **Portfolio** Analyzer component supports the review of real-time resource information. The system also includes issue...

13/3,K/2

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00111565 DOCUMENT TYPE: Review

PRODUCT NAMES: Kai's Power SHOW Windows & Macintosh (714844)

TITLE: Kai's Power Show  
AUTHOR: Sapwater, E  
SOURCE: Photo>Electronic Imaging, v41 n8 p34(3) Aug 1998  
ISSN: 0146-0153  
HOMEPAGE: <http://www.peimag.com>

RECORD TYPE: Review

REVIEW TYPE: Review

GRADE: A

REVISION DATE: 20030221

...be easily included. Testers were searching for an application that could easily create updatable image **portfolios**, attention-getting graphics, and an easy-to-use electronic distribution format. Kai's Power Show...

...expeditiously create a new presentation by choosing from a collection of 'statement presets' that established **image sequence**, transitions, audio choices, and other items.

13/3,K/3

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00065665 DOCUMENT TYPE: Review

PRODUCT NAMES: Arrange-It (499382)

TITLE: A New Kodak Tradition  
AUTHOR: Sutherland, Don  
SOURCE: Computer Graphics World, v17 n5 p60(2) May 1994  
ISSN: 0271-4159  
HOMEPAGE: <http://www.cgw.com>

RECORD TYPE: Review  
REVIEW TYPE: Review  
GRADE: A

REVISION DATE: 20010730

...Photo CD. The Macintosh-based program can make interactive presentations on the new Photo CD **Portfolio** disc. Users simply select and **sequence images** and other files using Arrange-It, and a finishing lab produces a **Portfolio** disc which can be played on Photo CD players connected to a television set. Up...

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01801569

DOMINICAN WOMEN "CON UN PIE AQUI Y EL OTRO ALLA": INTERNATIONAL MIGRATION,  
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Francisco, 1850--1920

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01680170

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OPPORTUNISM IN MODELS OF TENURE CHOICE (INSTITUTIONAL DESIGN,  
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01586475

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01382645

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01203252

AN ORGANIZATIONAL ECONOMIC MODEL OF CORPORATE RESTRUCTURING (AGENCY  
THEORY, RESTRUCTURING)

10/TI,AZ/15 (Item 15 from file: 35)  
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01162381

CAREER PATHS OF CHIEF BUSINESS OFFICERS AT FOUR-YEAR INSTITUTIONS OF HIGHER  
EDUCATION (INTERNAL LABOR MARKET)

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01149416

PROPERTY RIGHTS AND THE INVESTMENT BEHAVIOR OF U.S. AGRICULTURAL  
COOPERATIVES (UNITED STATES)

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01091740

AGENCY EFFECTS AND EXPENSE PREFERENCE BEHAVIOR IN COMMERCIAL BANKING

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915737

PERIPHERALIZATION OF THE OTTOMAN EMPIRE (TURKEY, WESTERN ANATOLIA)

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913070

IMPACT OF REGULATORY RISK ON CAPITAL STRUCTURE DECISIONS FOR ELECTRIC UTILITIES: A THEORETICAL AND EMPIRICAL ANALYSIS (COST, PUBLIC UTILITY)

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891680

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LONG-RUN DETERMINANTS OF INPUT PROPORTIONS IN THE ELECTRIC UTILITY INDUSTRY

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SOME TIME IN THE SUN: THE HOLLYWOOD YEARS OF FITZGERALD, FAULKNER, NATHANIEL WEST, ALDOUS HUXLEY, AND JAMES AGEE

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697988

PERSPECTIVE ANALYSIS OF SMALL COMMUNITY CAPITAL ACCUMULATION (PASCCA): A MODEL FOR DIAGNOSING LOCAL IMPACTS OF AGRICULTURAL CHANGES, WITH APPLICATIONS TO WEST JAVA RICE VILLAGES

10/TI,AZ/24 (Item 24 from file: 35)  
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697310

A COMPARATIVE ANALYSIS OF THE COST OF LONG-TERM DEBT FINANCING FOR REGIONAL MARKETING COOPERATIVES AND INVESTOR- OWNED FIRMS IN THE U.S. AGRICULTURAL AND FOOD INDUSTRIES

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06571584

Ahold to keep its eyes open in South America  
S AMERICA/HOLLAND: AHOLD LOOKS TO EXPAND

10/TI,AZ/26 (Item 2 from file: 583)  
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06496780  
Daishowa posts higher sales, profits  
JAPAN: PROFITS ADVANCE FOR DAISHOWA

10/TI,AZ/27 (Item 3 from file: 583)  
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06470572  
Colosso coreano in Italia per produrre fuoristrada  
ITALY: SSANG YONG/VIGNUZZI SIGN US\$ 100MN JV

10/TI,AZ/28 (Item 4 from file: 583)  
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06367674  
Go-Ahead and Victory win Thames Trains franchise  
UK: THAMES TRAINS FRANCHISE WON BY GO-AHEAD

10/TI,AZ/29 (Item 5 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06092311  
La Swatchmobile sera construite en France  
FRANCE: MCC TO BUILD SWATCHMOBILE PLANT

10/TI,AZ/30 (Item 6 from file: 583)  
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06030214  
Viacom Seeks to Sell Theaters to Trim Debt  
US: VIACOM/TIME-WARNER SEEK SALE OF CINAMERICA

10/TI,AZ/31 (Item 7 from file: 583)  
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06024100  
Shell Electric powers 236pc rise in profits  
HONGKONG: SHELL ELECTRIC'S PROFITS 236% UP

10/TI,AZ/32 (Item 8 from file: 583)  
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05664327  
Saab cars to get big capital injection  
SWEDEN - SAAB CARS TO GET BIG CAPITAL INJECTION

10/TI,AZ/33 (Item 9 from file: 583)  
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04272115  
INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL  
US - INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL

10/TI,AZ/34 (Item 1 from file: 2)

DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts. reserv.

02789308 INSPEC Abstract Number: B87004590

Title: Cogeneration for a Utility- Co - Owned industrial park. Vol.I-IV

10/TI,AZ/35 (Item 2 from file: 2)

DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts. reserv.

01657411 INSPEC Abstract Number: C81010485

Title: Vehicle choice models : review of previous studies and directions for further research

10/TI,AZ/36 (Item 1 from file: 233)

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00375259

Detailed statutory financial information from the NAIC

10/TI,AZ/37 (Item 1 from file: 474)

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00939692

H K Porter Co has acquired 7.3% of outstanding common stock of Macmillan Inc, paying about \$11 million for 906,700 shares. Thomas Mellon Evans, chmn of Crane Co , owns 63% of Porter's stock. Porter, in Schedule 13D filing with SEC, says that, while it may acquire additional Macmillan stock, it does not seek control of co, to attempt to merge with it or to seek representation on its bd. Comment on action questions Evans' motives. Evans por (M).)

10/TI,AZ/38 (Item 2 from file: 474)

DIALOG(R)File 474:(c) 2003 The New York Times. All rts. reserv.

00362598

Horn & Hardart Co pres Frederick H Guterman is changing image of Automats with innovative ideas including music, candlelight and wine; co's real estate holdings are helping to buy time for changes; co owns land and bldgs on sites of 14 of 33 restaurants; real estate is valued at \$7.5-million; Guterman cites crime in NYC as basic business problem; illus)

10/TI,AZ/39 (Item 3 from file: 474)

DIALOG(R)File 474:(c) 2003 The New York Times. All rts. reserv.

00019467

Denny's Restaurants to buy Sierra Development Co , owner and operator of 2 casinos in Nevada; Paramount Pictures acquiring securities of Commonwealth for investment purposes; W R Grace holds suit filed by Pepsico is 'utterly without substance'; Western Union to acquire control in PR Newswire Assn Inc; Aetna Industries Inc acquired by Talley Industries; Amerada Hess Corp formed by merger of Amerada Petroleum and Hess Oil; Richton Internatl to buy minimum 160,000 shares of Coro Inc from family and foundation of late Gerald E Rosenberger and others; Marshall Field sets acquisition of Spokane Dry Goods Co; Sun Corp acquired by Talley Industries)

10/TI,AZ/40 (Item 1 from file: 475)

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01093915

Bowmar Instrument Corp's Chapter 11 bankruptcy reorganization settlement has made its 3 largest unsecured creditors into major shareholders. New York Life Ins Co owns 15.9%, Morgan Guaranty Trust Co 9.4% and Amer Fletcher Natl Bank 7.1%. Terms of bankruptcy settlement could enable 3 insts' holdings to further increase by '85. Bowmar bankruptcy and settlement provisions outlined (L.)

10/TI,AZ/41 (Item 1 from file: 95)  
DIALOG(R)File 95:(c) 2003 FIZ TECHNIK. All rts. reserv.

01224051

The first multinationals: Assyria circa 2000 B.C.

10/TI,AZ/42 (Item 1 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

541697

TITLE: The Impact of Voucher Privatization on Efficiency

10/TI,AZ/43 (Item 2 from file: 139)  
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474860

TITLE: Central Banking, Democratic Governance and Political Authority: The Case of Brazil in a Comparative Perspective

10/TI,AZ/44 (Item 3 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

457955

TITLE: Vehicle Ownership, Vehicle Acquisitions and the Growth of Auto Leasing: Evidence From Consumer Surveys

10/TI,AZ/45 (Item 4 from file: 139)  
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457785

TITLE: Development Banking

10/TI,AZ/46 (Item 5 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

402267

TITLE: Discretionary Trading and Asset Price Volatility

10/TI,AZ/47 (Item 6 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

400371

TITLE: Shared Ownership and Pricing in Network Switch

10/TI,AZ/48 (Item 7 from file: 139)  
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372300

TITLE: Modeling Common Property Ownership as a Dynamic Contract

10/TI,AZ/49 (Item 8 from file: 139)  
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369759

TITLE: Industrial Organization in a Restructuring Socialist Economy:  
Evidence from Bulgaria

10/TI,AZ/50 (Item 9 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

352557

TITLE: The Excess Comovement of Commodity Prices Revisited

10/TI,AZ/51 (Item 10 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

345167

TITLE: Family Groupings on Performance of Portfolio Selection in the Hong  
Kong Stock Market

10/TI,AZ/52 (Item 11 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

327157

TITLE: Management's Reporting Strategy and Imperfection of the Capital  
Market

10/TI,AZ/53 (Item 12 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

299364

TITLE: The Elusive ESOP-Productivity Link: Evidence from U.S. Firm-Level  
Data

10/TI,AZ/54 (Item 13 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

237948

TITLE: Reform of Cooperative Ownership , and Land

10/3,K/9 (Item 9 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01382790 ORDER NO: AAD94-32558

**LEARNING PROCESSES THAT CONTRIBUTE TO THE EFFECTIVE DECISION-MAKING OF  
SMALL BUSINESS OWNERS**

Author: NESPOR, VANA NEVA  
Degree: ED.D.  
Year: 1994  
Corporate Source/Institution: COLUMBIA UNIVERSITY TEACHERS COLLEGE (0055  
)  
Source: VOLUME 55/07-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 1797. 333 PAGES

...of the business.

The study revealed that these owners have much more human and social capital and their businesses much more strategic capital than is common among owners nationally. They are also older. They have more education, more work and management experience, and supportive learning communities of business advisors. The owners create strategic capital within their businesses by securing customer contracts before launching the business; entering niche markets in...

...ownership, provide positive early selling or mini-business experiences, business mentors, family and business role models, successful work and management experiences in and out of the field, and formal education usually...

10/3,K/12 (Item 12 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01337234 ORDER NO: AAD94-07489

**INCENTIVES AND EQUITY CAPITAL IN COOPERATIVES**

Author: NADAV, CARMEL  
Degree: PH.D.  
Year: 1993  
Corporate Source/Institution: UNIVERSITY OF MINNESOTA (0130)  
Source: VOLUME 54/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3829. 125 PAGES

**INCENTIVES AND EQUITY CAPITAL IN COOPERATIVES**

...dynamic methodology to analyze the cooperative allocation problem regarding the dynamics of the cooperative's capital stock, production and investment decisions, and distribution of returns to patronage and equity. The framework makes use of Optimal Control Theory. The general model makes use of a finite horizon framework because the infinite planning horizon concept, even with...

...of the absence of secondary market for ownership rights in cooperatives. The latter suggests that capital accumulation and equity redemption plans should be defined over a finite time interval. The general model incorporates the organization's financial structure and within the finite horizon framework, derives rules for...

...stability of cooperative's output and finance are gained by solving a reduced infinite horizon model. This model is used to compare cooperative and investors owned firm behavior over time and inferences are made based on their dynamic shadow prices.

It is believed that this model and its extensions provide a more complete framework to analyze cooperative's allocation problems than...

10/3,K/14 (Item 14 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01203252 ORDER NO: AAD92-06599  
**AN ORGANIZATIONAL ECONOMIC MODEL OF CORPORATE RESTRUCTURING (AGENCY THEORY, RESTRUCTURING)**  
Author: BERGH, DONALD DUANE  
Degree: PH.D.  
Year: 1991  
Corporate Source/Institution: UNIVERSITY OF COLORADO AT BOULDER (0051)  
Source: VOLUME 52/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3344. 309 PAGES

**AN ORGANIZATIONAL ECONOMIC MODEL OF CORPORATE RESTRUCTURING (AGENCY THEORY, RESTRUCTURING)**

...of corporate and business strategy, as it could assist in the development of a certain **portfolio** or particular business posture. From this contention, this study examines how firms therefore use corporate restructuring to maintain control over their contracts and subsidiaries. Accordingly, the **model** developed herein **portrays** restructuring as an action to maintain efficiency over contracts with subsidiary firms.

This ability to...  
...firm, bounded rationality is measured by environmental munificence, dynamism and complexity. Opportunism is represented with **common stock held** by institutions, large blockholders, and insiders. Asset specificity is represented by asset base similarity within...

...corporate restructuring activities of its firms. In addition, combining the results herein with more "traditional" **models** of **portfolio** management should reveal a fuller **picture** of restructuring activity.

10/3,K/16 (Item 16 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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01149416 ORDER NO: AAD91-08061  
**PROPERTY RIGHTS AND THE INVESTMENT BEHAVIOR OF U.S. AGRICULTURAL COOPERATIVES (UNITED STATES)**  
Author: CONDON, ANDREW MICHAEL  
Degree: PH.D.  
Year: 1990  
Corporate Source/Institution: VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSITY (0247)  
Source: VOLUME 51/11-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3844. 204 PAGES

...uniquely define a cooperative as different from other forms of organizing business activity into a **model** of cooperative investment behavior.

In this study, the set of property rights governing ownership and control of cooperatives is identified and formally built into a neoclassical **model** of firm investment. The property rights approach is used to establish the core of a...

...The approach lends insight into the economic incentives for forming cooperatives and the reasons why **cooperative** corporation **ownership** and control structures are unique from those observed in investor owned corporations.

The resulting analysis...

...issues are (1) the decision control problem, (2) the common property problem, (3) the investment **portfolio** problem, and (4) the residual horizon problem. These conditions, if active, will act so as...

10/3,K/17 (Item 17 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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01091740 ORDER NO: AAD90-07573

**AGENCY EFFECTS AND EXPENSE PREFERENCE BEHAVIOR IN COMMERCIAL BANKING**

Author: HAYE, ERIC MATTHEW—

Degree: PH.D.

Year: 1990

Corporate Source/Institution: STATE UNIVERSITY OF NEW YORK AT BINGHAMTON  
(0792)

Source: VOLUME 50/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3288. 173 PAGES

...employment, expenses and compensation as well as the manner in which these parameters have been modeled empirically in previous research. Next, a comprehensive theoretical framework is developed which explicitly considers the impact of product and capital market conditions on bank behavior and presents testable hypotheses.

Contrary with much of the banking...

...these same endogenous variables were generally found to be significantly related to the dispersion of common stock ownership and the extent of insider participation in the ownership and policymaking structure. All of the...

...Although CEO and total executive compensation bore a statistically insignificant relationship with the above-mentioned capital market variables, the quantity variables--executive employment and executive and director employment--were negatively and...

...conformity with the agency thesis, insider board participation was inversely related to outside owner board representation. Taken together, these results serve as an affirmation of the expense-preference and agency-theoretic...

...bank expense and employment items are responsive to the number of shares of its own common stock held in trust for its customers as well as recent merger activity.

10/3,K/19 (Item 19 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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913070 ORDER NO: AAD86-07782

**IMPACT OF REGULATORY RISK ON CAPITAL STRUCTURE DECISIONS FOR ELECTRIC UTILITIES: A THEORETICAL AND EMPIRICAL ANALYSIS (COST, PUBLIC UTILITY)**

Author: RAO, RAMESH PILLARISSETTI

Degree: D.B.A.

Year: 1985

Corporate Source/Institution: TEXAS TECH UNIVERSITY (0230)

Source: VOLUME 47/02-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 614. 152 PAGES

**IMPACT OF REGULATORY RISK ON CAPITAL STRUCTURE DECISIONS FOR ELECTRIC UTILITIES: A THEORETICAL AND EMPIRICAL ANALYSIS (COST, PUBLIC UTILITY)**

...regulatory as well as non-regulatory factors. The regulatory factors result in regulatory risk to common equity holders.

The purpose of this study was to determine, both theoretically and empirically, how regulatory risk...

...the firm's financing policy.

In the theoretical portion of the study a firm valuation model



was developed within the context of the Capital Asset Pricing Model framework. The model was constructed to shed light on the relationship between firm value and financing policy in the presence of regulatory risk. The major implication of the theoretical model was that electric utilities would increase the proportion of debt and preferred stock in their capital structure in the presence of regulatory risk. Such a financing policy would result in firm...

10/3,K/20 (Item 20 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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891680 ORDER NO: AAD85-15511  
THE HEDGED OPERATING RISK MODEL : A PENSION ASSET INVESTMENT STRATEGY  
Author: GRAHAM, SHARON SUE  
Degree: D.B.A.  
Year: 1984  
Corporate Source/Institution: UNIVERSITY OF VIRGINIA (0246)  
Source: VOLUME 46/07-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 2033. 212 PAGES

THE HEDGED OPERATING RISK MODEL : A PENSION ASSET INVESTMENT STRATEGY

Using the negotiated-wage model as its foundation, this study develops and empirically tests a pension-asset investment model for defined benefit pension plans, the hedged operating risk model (HORM). This model rested on the assumption that the employees, as a group, develop firm-specific knowledge, or human capital. The human capital investment in the firm entitles the employee group to a portion of the firm's...

...shareholders. Thus, pension assets are jointly owned by the shareholders and employees.

Based upon the joint ownership of the pension assets, the HORM combines the firm's and pension funds' assets into a single portfolio. A modified form of the Markowitz portfolio selection model is used to determine the optimal combination of assets in the pension portfolio, so that the expected return for the combined portfolio is maximized, while the variance of the combined portfolio is maintained at a level selected by the manager.

The performance of the HORM relative...

...percent, in a bond index, with the residual invested in an equity index. The total-portfolio mean returns of all six alternative pension fund investment strategies are compared to the HORM's total-portfolio mean return.

Although two separate samples and simulation methodologies are tested empirically (one where the...

...the conclusion that pension assets should be invested so that the return of the total portfolio, both the firm's and pension fund's assets, is optimized.

...

10/3,K/33 (Item 9 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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04272115  
INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL  
US - INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL  
Wall Street Journal Europe (WSJ) 15 May 1991 p22

INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL

US - INVESTOR GROUP BANK PLAN MAY BECOME NATIONAL MODEL

... Numerica Savings Bank and New Hampshire Savings Bank by an investor group could become a model for other bank mergers under govt assistance. The above three banks have non-existent or virtually non-existent equity capital. The plan sets down that the FDIC would provide additional equity of USD1r35 mil, which would become a one-third common-stock ownership stake in the new venture if not redeemed from earnings. The US banking industry is...

... one of the first initiatives taken to aid banks to solve their problems using new capital from the public and private sectors.

10/3,K/34 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2003 Institution of Electrical Engineers. All rts. reserv.

02789308 INSPEC Abstract Number: B87004590  
Title: Cogeneration for a Utility- Co - Owned industrial park. Vol.I-IV  
Issued by: Electr. Power Res. Inst., Palo Alto, CA, USA  
Publication Date: 15 July 1986 Country of Publication: USA 4 vol.  
(120+156+172+180) pp.  
Report Number: EPRI-EM-4581  
Language: English  
Subfile: B

Title: Cogeneration for a Utility- Co - Owned industrial park. Vol.I-IV  
...Abstract: they had various different types of industry. For each site an energy-use and growth model was formulated, then an integrated power system was designed, using existing utility capacity and cogeneration additions to meet the projected energy demands of the model. An economic analysis was also conducted, estimating lifetime capital and operating costs in terms of cash flow, payback, net value, and rate of return.

...Identifiers: energy-use and growth model ; ...

...lifetime capital ;

10/3,K/36 (Item 1 from file: 233)  
DIALOG(R)File 233:Internet & Personal Comp. Abs.  
(c) 2003, EBSCO Pub. All rts. reserv.

00375259 95IT02-020  
Detailed statutory financial information from the NAIC  
Information Today , February 1, 1995 , v12 n2 p21, 1 Page(s)  
ISSN: 8755-6286  
Company Name: OneSource Information Services; National Association of Insurance Commissioners  
Product Name: Schedule D Holdings

Product Name: Schedule D Holdings  
... 800) in concert with the National Association of Insurance Commissioners (NAIC) has released Schedule D Holdings (\$NA), a Windows-based electronic information product that lists every debt issue, preferred stock, and common stock held by every U.S. insurance that files with the NAIC. Says both a Life edition...

... reports can be produced, and data can be copied into Windows word processing and presentation graphics software. (LDS)

Descriptors: Insurance; Online Information; Window Software;  
Presentation Graphics ; CD-ROM

Identifiers: Schedule D Holdings ; OneSource Information Services;  
National Association of Insurance Commissioners

10/3,K/45 (Item 4 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

457785

**TITLE: Development Banking**

AUTHOR(S): Armendariz de Aghion, Beatriz  
AUTHOR(S) AFFILIATION: London School of Econ  
PUBLICATION INFORMATION: London School of Economics, STICERD Discussion  
Paper Series: DEP 64 PAGES: 24  
PUBLICATION DATE: October 1995  
AVAILABILITY: Copies available from: STICERD, Room R.415, London School of  
Economics and Political Science, Houghton Street, London WC2A 2AE,  
England  
PRICE: no charge  
DOCUMENT TYPE: Working Paper  
ABSTRACT INDICATOR: Abstract

ABSTRACT: This paper develops a model of a (laissez-faire) decentralized  
banking system in which banks are shown to both underinvest...

... to that sponsorship. Crucially, these include targeting of development  
bank intervention, cofinancing arrangements and/or co - ownership  
with private financial institutions. The relevance of the analysis for  
LDC's is discussed by...

...DESCRIPTOR(S) (1991 to Present): G210); Economic Development: Financial  
Markets; Saving and Capital Investment (Financial Intermediation...

...DESCRIPTOR(S) (Pre-1991): 5220); Economic Development Models and  
Theories...

10/3,K/46 (Item 5 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

402267

**TITLE: Discretionary Trading and Asset Price Volatility**

AUTHOR(S): Aziz, Jahangir  
AUTHOR(S) AFFILIATION: IMF  
PUBLICATION INFORMATION: International Monetary Fund, Working Paper:  
95/104 PAGES: 27  
PUBLICATION DATE: October 1995  
AVAILABILITY: Copies available from: International Monetary Fund, 700 19th  
Street, Washington, DC 20431  
PRICE: not available  
DOCUMENT TYPE: Working Paper  
ABSTRACT INDICATOR: Abstract

...ABSTRACT: expands in the form of more informed traders entering the  
market, in contrast to the commonly held view, price volatility  
actually increases. Moreover, if entry is endogenized using transaction  
costs (brokerage fees...

... making speculative profits is large and therefore informed trading is  
discretionary. An extension of the model opens up the possibility of  
the market displaying informational herding-like behavior despite  
traders having...

...DESCRIPTOR(S) (Pre-1991): 0261); Capital Markets--Empirical Studies,  
Including Regulation...

...3132); Capital Markets: Theory, Including Portfolio Selection, and  
Empirical Studies Illustrating Theory...

10/3,K/47 (Item 6 from file: 139)  
DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

400371

**TITLE:** Shared Ownership and Pricing in Network Switch

**AUTHOR(S):** McAndrews, James J.; Rob, Rafael

**AUTHOR(S) AFFILIATION:** Federal Reserve Bank of Philadelphia; U PA

**JOURNAL NAME:** International Journal of Industrial Organization,

**JOURNAL VOLUME & ISSUE:** 14 6,

**PAGES:** 727-45

**PUBLICATION DATE:** October 1996

**ISSN:** 0167-7187

**DOCUMENT TYPE:** Journal Article

**ABSTRACT INDICATOR:** Abstract

**TITLE:** Shared Ownership and Pricing in Network Switch

...**ABSTRACT:** being largely fixed and their demand exhibiting substantial network externalities. Motivated by these observations, we model the competition for members between wholesale switches and the role joint ownership can play in attracting members. The model analyzes both the adoption decision (which network a bank chooses to join) and the subsequent...

...**DESCRIPTOR(S)** (1991 to Present): G210); Financing Policy; Capital and Ownership Structure; financial ratios; value of firm...

10/3,K/48 (Item 7 from file: 139)

DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

372300

**TITLE:** Modeling Common Property Ownership as a Dynamic Contract

**AUTHOR(S):** Caputo, Michael R.; Lueck, Dean

**AUTHOR(S) AFFILIATION:** U CA, Davis; Yale Law School

**JOURNAL NAME:** Natural Resource Modeling,

**JOURNAL VOLUME & ISSUE:** 8 3,

**PAGES:** 225-45

**PUBLICATION DATE:** Summer 1994

**ISSN:** 0890-8575

**DOCUMENT TYPE:** Journal Article

**ABSTRACT INDICATOR:** Abstract

**TITLE:** Modeling Common Property Ownership as a Dynamic Contract

**ABSTRACT:** Common property ownership is modeled as a joint wealth-maximizing egalitarian share contract. Two-stage differential games are developed for...

... comparative statics predictions about the value of the contracts which define property rights to a capital stock.

10/3,K/51 (Item 10 from file: 139)

DIALOG(R)File 139:EconLit

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345167

**TITLE:** Family Groupings on Performance of Portfolio Selection in the Hong Kong Stock Market

**AUTHOR(S):** Lam, Kin-et al.

**AUTHOR(S) AFFILIATION:** U Hong Kong

**JOURNAL NAME:** Journal of Banking and Finance,

**JOURNAL VOLUME & ISSUE:** 18 4,

**PAGES:** 725-42

**PUBLICATION DATE:** September 1994

**ISSN:** 0378-4266

**DOCUMENT TYPE:** Journal Article

**ABSTRACT INDICATOR:** Abstract

**TITLE: Family Groupings on Performance of Portfolio Selection in the Hong Kong Stock Market**

**ABSTRACT:** In this paper we propose to construct efficient portfolios in the Hong Kong stock market by making use of a homogeneous grouping of stocks based on common family ownership. After taking family grouping into account, it is found that correlation structure of stocks' price movements can be predicted more accurately. Also portfolios can be constructed so that its performance in a future period are better than those constructed under a single index model or a multi-index model using standard industry classification as grouping criteria for stocks. Coauthors are Henry M. K. Mok...

**DESCRIPTOR(S) (1991 to Present):** Portfolio Choice...

**DESCRIPTOR(S) (Pre-1991):** Capital Markets--Empirical Studies, Including Regulation...

...3132); Capital Markets: Theory, Including Portfolio Selection, and Empirical Studies Illustrating Theory...

10/3,K/54 (Item 13 from file: 139)

DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

237948

**TITLE: Reform of Cooperative Ownership , and Land**

**AUTHOR(S):** Juhasz, P.

**AUTHOR(S) AFFILIATION:** Unlisted

**JOURNAL NAME:** Acta Oeconomica,

**JOURNAL VOLUME & ISSUE:** 41 3,

**PAGES:** 421-33

**PUBLICATION DATE:** 1989

**ISSN:** 0001-6373

**DOCUMENT TYPE:** Journal Article

**ABSTRACT INDICATOR:** Abstract

**TITLE: Reform of Cooperative Ownership , and Land**

**ABSTRACT:** A wide range of cooperatives is needed in Hungary even after the development of capital markets. Traditional Hungarian industrial and agricultural cooperatives are not genuine cooperatives partly because of the...

... cooperatives transform to state enterprises and partly because their ideal-type is adjusted to the model of a self-regulated firm. The moving of the greater part of capital as shares into the hand of members is imperative for successful adaptation to capital markets. Agricultural cooperatives with diversified activities are best to transform into "cooperative holdings ," what requires the reestablishment of the land market.

11/3,K/1 (Item 1 from file: 583)  
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09422967

Leasing: Prospect turn rosy

CHINA: LEASING SECTOR OPENS FOR FOREIGN ENTRANTS

China Daily (XKP) 10 Dec 2000 Online

Language: ENGLISH

... players into leasing business in China are not levied with any form of constraints, as **outline** under the newly promulgated Regulations on Financial Leasing Companies. In line with this, leasing transactions...

...The firm, however, must possess at least RMB 500 mn (US\$ 60.24 mn) of **capital**, regardless of whether it is **joint** venture, Chinese- **owned** or foreign funded in nature. As told by University of International Business & Economics' professor, Shi...

13/3,K/1 (Item 1 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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09223874

Agreement on big gas deal

THAILAND/MALAYSIA: BLOCK A-18 GAS SALE RESOLVED  
Oil & Gas Australia (XBT) Nov 1999 p.47  
Language: ENGLISH

...amount to 372 bn Btu a day or 390 mn cubic feet daily and also outlines  
that the gas sellers are required to supply 110% of the contract gas sales  
volume...

... of the gas volume in the said accord. Atlantic Richfield Company (ARCO)  
which is the co - owner of TOCT is planned to either carry the cost of  
the maiden gas output or...

13/3,K/2 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2003 The New York Times. All rts. reserv.

01093915 NYT Sequence Number: 012632770902

Bowmar Instrument Corp's Chapter 11 bankruptcy reorganization settlement  
has made its 3 largest unsecured creditors into major shareholders. New  
York Life Ins Co owns 15.9%, Morgan Guaranty Trust Co 9.4% and Amer  
Fletcher Natl Bank 7.1%.Terms of bankruptcy settlement could enable 3  
insts' holdings to further increase by '85. Bowmar bankruptcy and  
settlement provisions outlined (L).)

ELIA, CHARLES J

Wall Street Journal, Col. 3, Pg. 21  
Friday September 2 1977

...settlement has made its 3 largest unsecured creditors into major  
shareholders. New York Life Ins Co owns 15.9%, Morgan Guaranty Trust  
Co 9.4% and Amer Fletcher Natl Bank 7.1%.Terms of bankruptcy settlement  
could enable 3 insts' holdings to further increase by '85. Bowmar  
bankruptcy and settlement provisions outlined (L).)...

File 15:ABI/Inform(R) 1971-2003/Aug 16  
     (c) 2003 ProQuest Info&Learning  
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 File 810:Business Wire 1986-1999/Feb 28  
     (c) 1999 Business Wire  
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 File 621:Gale Group New Prod.Annou.(R) 1985-2003/Aug 15  
     (c) 2003 The Gale Group  
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     (c) 2003 PR Newswire Association Inc  
 File 813:PR Newswire 1987-1999/Apr 30  
     (c) 1999 PR Newswire Association Inc  
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     (c) 1999 The Gale Group  
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     (c) 2003 San Jose Mercury News  
 File 148:Gale Group Trade & Industry DB 1976-2003/Aug 15  
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 File 268:Banking Info Source 1981-2003/Aug W2  
     (c) 2003 ProQuest Info&Learning  
 File 626:Bond Buyer Full Text 1981-2003/Aug 15  
     (c) 2003 Bond Buyer  
 File 267:Finance & Banking Newsletters 2003/Aug 14  
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Set	Items	Description
S1	3269	(CO()OWN? OR (MULTIPARTY OR COMMON?? OR SHARED OR JOINT OR COOPERATIVE?)(2W)(OWNED OR OWNER? OR HELD)) (5N)((FINANCIAL OR MONETARY OR INVESTMENT)(2W)(INSTRUMENT? ? OR ASSET? ?) OR SECURITIES OR CAPITAL OR HOLDINGS OR PORTFOLIO?)
S2	1957	(MULTIPL? OR SEVERAL OR NUMEROUS? OR PLURAL? OR MYRIAD)(2W-)(INVESTOR? OR OWNER? ? OR TITLEHOLDER? ? OR HOLDER?)(5N)((FINANCIAL OR MONETARY OR INVESTMENT)(2W)(INSTRUMENT? ? OR ASSET? ?) OR SECURITIES OR CAPITAL OR HOLDINGS OR PORTFOLIO?)
S3	204	(S1 OR S2) AND (SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR)-())ANALY?)
S4	29648	(MODEL? OR PICTURE? ? OR REPRESENTATION? OR SPREADSHEET? OR GRAPHIC? OR IMAGE? OR TABLE? OR TABULAR?)(3N)(SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR)())ANALY?)
S5	6	(S1 OR S2) AND S4
S6	204	(S1 OR S2) AND (SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR)-())ANALY?)
S7	17	(S1 OR S2)(S)(SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE? ? OR (PATTERN? OR CONTINGENCY OR PERFORMANCE OR BEHAVIOR)())ANALY?)
S8	5	S7 NOT PY>1999
S9	4	RD (unique items)



5/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01769092 04-20083

**Analysing major recessions: An analytical summary of a new study of large recessions in the twentieth century**

Dow, Christopher

National Institute Economic Review n167 PP: 70-85 Jan 1999

ISSN: 0027-9501 JRNL CODE: NER

WORD COUNT: 10584

...TEXT: price of the factors of production [MR 4.2.1 P1]. (The fruits are normally **shared** between workers, **owners** of **capital** and entrepreneurs; and unless this process proceeds smoothly not all output of the sorts produced... of what happened in major recessions (Section 2) depend on the validity of the theoretical **model** **outlined** above? The main conclusions and the historical interpretation are much more firmly grounded empirically than...

5/3,K/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01403975 00054962

**Financial instability reconsidered: Orthodox theories versus historical facts**

Spotton, Brenda

Journal of Economic Issues v31n1 PP: 175-195 Mar 1997

ISSN: 0021-3624 JRNL CODE: JEI

WORD COUNT: 8998

...TEXT: utility from each possible outcome. Trading off risk against expected return, the investor makes a **portfolio** choice. **Numerous investors** acting in a similar manner generate a market demand for assets that, when coupled with...for speculating, the credit conditions, end of the mania, the subsequent distress, and its transmission. **Table 1 outlines** the three episodes and provides the reader with an overview of their critical features.

Comparison...

5/3,K/3 (Item 1 from file: 275)  
DIALOG(R)File 275:Gale Group Computer DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01854374 SUPPLIER NUMBER: 17443108 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**T/R Systems builds a digital press from color laser printers. (T/R Systems MicroPress) (Product Announcement)**

Walter, Mark

Seybold Report on Publishing Systems, v25, n2, p3(9)

Sep 18, 1995

DOCUMENT TYPE: Product Announcement

ISSN: 0736-7260

LANGUAGE:

English RECORD TYPE: Fulltext

WORD COUNT: 7541 LINE COUNT: 00576

... enough to consider developing its own product. It obtained upward of \$6 million in venture- **capital** backing from **several** high-tech **investors**, including Sevin Rosen of Dallas and Noro-Moseley of Atlanta, both of which are represented...use of this one, rather than try to integrate it with another one. In this **scenario**, **graphics** for jobs destined for the MicroPress stay on its OPI server; those to be typeset...

5/3,K/4 (Item 1 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

16061781 SUPPLIER NUMBER: 99146543 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**The paradox of priority.**

Longhofer, Stanley D.; Santos, Joao A.C.  
Financial Management, 32, 1, 69(13)  
Spring, 2003

ISSN: 0046-3892 LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 7841 LINE COUNT: 00626

... other creditors (e.g., Gertner and Scharfstein, 1991; Diamond, 1993; and Welch, 1997). In such models, the effects we outline simply magnify the desirability of making the bank senior while collateralizing the trade creditor's...673.

Bergolf, E. and E.L. von Thadden, 1994, "Short-Term versus Long-Term Interests: Capital Structure with Multiple Investors," Quarterly Journal of Economics 109, 1055-1084.

Besanko D. and A.V. Thakor, 1987, "Collateral...

5/3,K/5 (Item 2 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.  
>>>Accession number 15777091 is unavailable

5/3,K/6 (Item 3 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

10837889 SUPPLIER NUMBER: 53953622 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Analyzing major recessions: an analytical summary of a new study of large recessions in the twentieth century.**

Dow, Christopher  
National Institute Economic Review, 167, 70(1)  
Jan, 1999

ISSN: 0027-9501 LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 11327 LINE COUNT: 00954

... price of the factors of production (MR 4.2.1 P1). (The fruits are normally shared between workers, owners of capital and entrepreneurs; and unless this process proceeds smoothly not all output of the sorts produced...of what happened in major recessions (Section 2) depend on the validity of the theoretical model outlined above? The main conclusions and the historical interpretation are much more firmly grounded empirically than...

9/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00726479 93-75700

**Trade Liberalization in General Equilibrium: Intertemporal and Inter-Industry Effects**

Goulder, Lawrence H.; Eichengreen, Barry  
Canadian Journal of Economics v25n2 PP: 253-280 May 1992  
ISSN: 0008-4085 JRNL CODE: CJE  
WORD COUNT: 9748

...TEXT: from QR removal when there is minimal initial cross-ownership. (Table 5 omitted) In this scenario, the increases in the value of U.S.-located capital from QR removal are enjoyed almost entirely by U.S. residents rather than shared with foreign owners of U.S. capital. Hence U.S. residents enjoy larger increases in welfare. The effects are similar in the...

9/3,K/2 (Item 1 from file: 813)  
DIALOG(R)File 813:PR Newswire  
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0744674 NY081  
**KKR AND BORDEN SIGN DEFINITIVE MERGER AGREEMENT**

DATE: September 23, 1994 17:05 EDT WORD COUNT: 275

...the outstanding common stock of Borden by a KKR Partnership in exchange for RJR Nabisco Holdings Corp. (NYSE: RN) common stock owned by the Partnership valued at \$14.25 per Borden share. The terms and conditions of the agreement closely follow the terms and conditions outlined in the agreement in principle announced on September 12.

"This merger is the best alternative..."

9/3,K/3 (Item 1 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

04889387 SUPPLIER NUMBER: 09733129 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Will savings materialize? How book entry will change commercial paper trading. (Commercial Paper: A Special Section)**  
Sorenson, Dale R.  
Corporate Cashflow Magazine, v11, n13, p33(3)  
Dec, 1990  
ISSN: 1040-0311 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT  
WORD COUNT: 2421 LINE COUNT: 00196

... notes are distributed by two dealers (thus, two "issuance instructions" and two "deliver orders") to several investors who safe keep their securities with six custodian banks (hence, six "maturity presentments"). The DTC fees for this scenario would total \$20.30. (See "DTC fees.")

To this out-of-pocket expense, IPA banks...

9/3,K/4 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

05864613  
**Lucent germinates large scale Internet conferencing**

SECTION TITLE: News  
John Gerald's in Silicon Valley  
NEWSWIRE (VNU)

May 06, 1999

JOURNAL CODE: WNEW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 381

... of 50 users able to take part in virtual classroom training, trade show and auditorium scenarios . These realtime data and voice conferences can be accessed via wireless phones, laptops, and Lan...

STN - Conference Papers Index

L1            706 S (FINANCIAL OR MONETARY OR INVESTMENT) (2W) (INSTRUMENT# OR ASSE  
L2            8 S CO()OWN? OR (MULTIPARTY OR COMMON## OR SHARED OR JOINT OR COO  
L3            6561 S SCENARIO? OR SYNOPSIS OR SEQUENCE OR OUTLINE# OR (PATTERN? OR  
L4            88087 S MODEL? OR PICTURE# OR REPRESENTATION? OR SPREADSHEET? OR GRAP  
L5            54 S L1 AND (L2 OR L3 OR L4)

STN - Conference Papers Index

L5 ANSWER 1 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2002:62902 CONFSCI  
 DN 02-062902  
 TI Seismic damage assessment in a model district of Seoul, the capital city of Korea  
 AU Kim, J.K.; Yoon, E.; Baag, C.E.; Kang, T.S.; Kim, I.; Lee, I.  
 SO The Earthquake Engineering Research Institute, 499 14th Street, Suite 320, Oakland, CA 94612-1934, USA; phone: 510-451-0905; fax: 510-451-5411; email: eeri@eeri.org. Paper No. LE-1e.  
 Meeting Info.: 000 6083: 7th U.S. National Conference on Earthquake Engineering (0006083). Boston, MA (USA). 21-25 Jul 2002. The Earthquake Engineering Research Institute.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 5500 GEOSCIENCE

L5 ANSWER 2 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2002:54333 CONFSCI  
 DN 02-054333  
 TI North Oman common earth model: Regional mapping at a prospect scale providing a framework for 3-D portfolio analysis  
 AU Filbrandt, J.; Al-Mazrui, S.; Sha, L.; Rebel, H.  
 CS PDO  
 SO Gulf PetroLink.com, c/o Unilink, 4 Cataragui St., Suite 308, Kingston, ON K7K 1Z7, Canada; phone: 613-549-0404; fax: 613-549-2528; as@unilinkfares.com.  
 Meeting Info.: 000 6008: Biennial Middle East Geosciences Conference and Exhibition (0006008). Bahrain. 15-17 Apr 2002. American Assoc. of Petroleum Geologists, Dhahran Geoscience Society, European Assoc. of Geoscientists & Engineers, Emirates Society of Geoscience, Society of Exploration Geophysicists.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 5500 GEOSCIENCE

L5 ANSWER 3 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2002:51895 CONFSCI  
 DN 02-051895  
 TI Application of a state of the art 3D-CAD-modeling and simulation system for the decommissioning of nuclear capital equipment in respect of German prototype spent fuel reprocessing plant Karlsruhe  
 AU Doring, K.; Bose, U.; Schulz, M.  
 CS WAK Betriebsgesellschaft GmbH, Germany  
 SO 28th Annual Waste Management Symposium, c/o LOI Inc.; phone: 520-292-5652; fax: 520-292-9080; email: information@aser-options.com; URL: www.wmsym.org/wm02.  
 Meeting Info.: 000 5950: 28th Annual Waste Management 2002 (0005950). Tucson, AZ (USA). 24-28 Feb 2002. Univ. of Arizona, American Nuclear Soc., American Society of Mechanical Engineers, New Mexico State Univ., WERC, NEA/OECD.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 4300 ENVIRONMENTAL SCIENCE

L5 ANSWER 4 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2001:51658 CONFSCI

STN - Conference Papers Index

DN 01-051658  
 TI Significance of project risking methods on **portfolio optimization models**  
 AU Tyler, P.A.; Fichter, D.P.; McVean, J.R.  
 SO Society of Petroleum Engineers, P.O. Box 833836, Richardson, TX 75083-3836, USA; phone: 1-972-952-9393; fax: 1-972-952-9435; URL: www.spe.org. Paper No. 69594.  
 Meeting Info.: 000 5474: Latin American and Caribbean Petroleum Engineering Conference (0005474). Buenos Aires (Argentina). 25-28 Mar 2001. The Society of Petroleum Engineers.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 5500 GEOSCIENCE; 4300 ENVIRONMENTAL SCIENCE; 1200 AQUATIC SCIENCE

L5 ANSWER 5 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2000:45141 CONFSCI  
 DN 00-042012  
 TI **Model** of collaborative decision making process in the nation's **capital** for the 21st century - RBCA 2000  
 AU Gordon, T.J.  
 CS Government District Columbia, Washington, DC, USA  
 SO Association for the Environmental Health of Soils, 150 Fearing St, Amherst, MA 01002, USA; URL: www.aehs.com.  
 Meeting Info.: 001 5055: 10. Annual West Coast Conference on Contaminated Soils and Water (0015055). San Diego, CA (USA). 20-23 Mar 2000.  
 Association for the Environmental Health of Soils, US Navy.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 5500 GEOSCIENCE; 4300 ENVIRONMENTAL SCIENCE

L5 ANSWER 6 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 96:65379 CONFSCI  
 DN 97-006389  
 TI **capital pi** -Turns in proteins and peptides; classification, occurrence, **sequence** and hydration: A protein data bank analysis  
 AU Ramakumar, S.; Rajashankar, K.R.  
 SO American Crystallographic Association, PO Box 96, Ellicott Station, Buffalo, NY 14205, Abstracts available. Poster Paper No. PS22.03.09.  
 Meeting Info.: 963 0015: 17th Congress and General Assembly of the International Union of Crystallography (9630015). Seattle, WA (USA). 8-17 Aug 1996. The International Union of Crystallography; The International Council of Scientific Unions; The U.S. National Committee for Crystallography; The American Crystallographic Association; The American Institute of Physics.  
 DT Conference  
 FS DCCP  
 LA English  
 CC 1500 BIOCHEMISTRY; 2500 CHEMISTRY AND CHEMICAL ENGINEERING

L5 ANSWER 7 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 96:52601 CONFSCI  
 DN 96-064474  
 TI Large hospital **model** for **capital** asset management  
 AU King, G.C.  
 CS Clinical/Facilities Engineering, Allegheny General Hosp., Pittsburgh, PA 15212-4772, USA  
 SO Association for the Advancement of Medical Instrumentation, 3330 N.

STN - Conference Papers Index

Washington Blvd., Suite #400, Arlington, VA 22201-4598, Abstracts available..

Meeting Info.: 962 0241: Annual Meeting of the Association for the Advancement of Medical Instrumentation (9620241). Philadelphia, PA (USA). 1-5 Jun 1996. Association for the Advancement of Medical Instrumentation.

DT Conference

FS DCCP

LA English

CC 3500 CLINICAL MEDICINE

L5 ANSWER 8 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 96:7676 CONFSCI

DN 96-019549

TI Simple, effective **models** for evaluating **portfolios** of exploration and production projects

AU Davidson, L.B.; Davis, J.E.

CS Direction Focus

SO Society of Petroleum Engineers, Attn.: Book Order, PO Box 833836, Richardson, TX 75083-3836.

Meeting Info.: 954 0354: Society of Petroleum Engineers Annual Technical Conference and Exhibition (9540354). Dallas, TX (USA). 22-25 Oct 1995. Society of Petroleum Engineers.

DT Conference

FS DCCP

LA English

CC 5500 GEOSCIENCE

L5 ANSWER 9 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 95:74400 CONFSCI

DN 96-002959

TI **Model** of evaluating of environmental **capital** of forest ecosystem

AU Krznar, A.; Dolenec, S.; Bilandzija, J.; Krejci, V.; Vrbek, B.; Lindic, V.; Littvay, T.

CS Forest Res. Inst., Dept. Forest Topology, 41000 Zagreb, Croatia

SO International Union of Forestry Research Organizations Secretariat, Federal Forest Research Institute, Seckendorff-Gudent-Weg 8, A-1131 Vienna, Austria, Abstracts available..

Meeting Info.: 953 0032: International Union of Forestry Research Organizations XX World Congress (9530032). Tampere (Finland). 6-12 Aug 1995. International Union of Forestry Research Organizations.

DT Conference

FS DCCP

LA English

CC 1000 ANIMAL AND PLANT SCIENCE

L5 ANSWER 10 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 95:74063 CONFSCI

DN 96-002622

TI Forest as an investment in **portfolio** management: The Finnish case analyzed by the **capital** asset pricing **model**

AU Penttinen, M.; Lausti, A.

CS Finnish Forest Res. Inst., FIN 00170 Helsinki, Finland

SO International Union of Forestry Research Organizations Secretariat, Federal Forest Research Institute, Seckendorff-Gudent-Weg 8, A-1131 Vienna, Austria, Abstracts available..

Meeting Info.: 953 0032: International Union of Forestry Research Organizations XX World Congress (9530032). Tampere (Finland). 6-12 Aug 1995. International Union of Forestry Research Organizations.



STN - Conference Papers Index

DT Conference  
 FS DCCP  
 LA English  
 CC 1000 ANIMAL AND PLANT SCIENCE

L5 ANSWER 11 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 93:9314 CONFSCI  
 DN 93009314  
 TI Bond **portfolio** evaluation using Monte-Carlo simulation on  
**spreadsheets**  
 AU Wichet, C.  
 CS Univ. Quebec, Montreal, Que., Canada  
 SO SCS, 4838 Ronson Ct., Suite L, San Diego, CA 92111-1810, USA; Telephone:  
 (619) 277-3888; Fax: (619) 277-3930, Proceedings.  
 Meeting Info.: 923 0786: 1992 Summer Computer Simulation Conference  
 (9230786). Reno, NV (USA). 27-30 Jul 1992. Society for Computer Simulation  
 (SCS).

DT Conference  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 12 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 93:1639 CONFSCI  
 DN 93001639  
 TI Private **capital** environmental technology integration program:  
 Development of a process **model**  
 AU Mastracci, M.L.  
 CS Off. Res. and Dev., U.S. Environ. Prot. Agency, Washington, DC  
 SO ETEX Conference Manager, The Interface Group, 300 First Avenue, Needham,  
 MA 02194-2722, USA; Telephone: (617) 449-6600, ext. 4314; Fax: (617)  
 449-2674, Proceedings.  
 Meeting Info.: 922 5034: ETEX'92 - The 2nd Annual Environmental Technology  
 Exposition and Conference (9225034). Washington, DC (USA). 7-9 Apr 1992.  
 Environmental Law Inst.; National Environmental Technology Applications  
 Corp.; Am. Inst. Pollution Prevention; Natl. Assoc. Environmental  
 Management; Environment Today; Industry Week; Chemical Engineering;  
 Governing; and Modern Plastics.

DT Conference  
 FS DCCP  
 LA UNAVAILABLE  
 CC 2500 CHEMISTRY AND CHEMICAL ENGINEERING; 3000 CIVIL AND MECHANICAL  
 ENGINEERING; 5500 GEOSCIENCE

L5 ANSWER 13 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 92:10540 CONFSCI  
 DN 92048412  
 TI Mathematical analysis of mortgage derivative **securities** using  
**spreadsheets**  
 AU Hassett, M.J.  
 CS Arizona State Univ., Tempe, AZ  
 SO AMS, P.O. Box 6248, Providence, RI 02940, USA, Abstracts of papers  
 presented to the American Mathematical Society, December 1991 Paper No.  
 267.  
 Meeting Info.: 921 0197: Joint Mathematical Meetings of the American  
 Mathematical Society and the Mathematical Association of America  
 (9210197). Baltimore, MD (USA). 8-11 Jan 1992.

DT Conference  
 FS DCCP

STN - Conference Papers Index

LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 14 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 91:2380 CONFSCI  
DN 91027934

TI Generic cost model for estimating LNG plant **capital**  
costs

AU Dinapoli, R.N.; Yost, C.C.

CS Merlin Associates, Inc., Atlanta, GA

SO AIChE, 345 East 47th Street, New York, NY 10017, USA, Paper No. 47c.  
Meeting Info.: 912 5000: Petrochemical Expo '91 - 1991 Spring National  
Meeting (9125000). Houston, TX (USA). 7-11 Apr 1991. American Institute of  
Chemical Engineers.

DT Conference

FS DCCP

LA UNAVAILABLE

CC 5500 GEOSCIENCE

L5 ANSWER 15 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 89:15675 CONFSCI  
DN 90011261

TI Using electronic **spreadsheets** to analyze real world  
**capital** expenditures

AU White, B.E.

CS IE Dep., Western Michigan Univ., Kalamazoo, MI

SO Publications Sales, Industrial Engineering & Management Press, IIE, 25  
Technology Park/Atlanta, Norcross, GA 30092 (USA). Telephone: (404)  
449-0460..

Meeting Info.: 892 0576: 1989 International Industrial Engineering  
Conference (8920576). Toronto (Canada). 14-17 May 1989. Institute of  
Industrial Engineers (IIE).

DT Conference

FS DCCP

LA UNAVAILABLE

CC 3000 CIVIL AND MECHANICAL ENGINEERING

L5 ANSWER 16 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 87:43565 CONFSCI  
DN 88014899

TI Adaptive control of some continuous time **portfolio** and  
**consumption models**

AU Pakik-Duncan, B.; Duncan, T.E.

CS Univ. Kansas

SO IEEE Service Center, 445 Hoes Lane, Piscataway, NJ 08854 (USA). Telephone:  
(201) 981-0060.

Meeting Info.: 874 5032: Decision and Control 26th Conference (8745032).  
Los Angeles, CA (USA). 9-11 Dec 1987. Institute of Electrical and  
Electronics Engineers (IEEE).

DT Conference

FS DCCP

LA UNAVAILABLE

CC 4000 ELECTRICAL ENGINEERING; 6500 MATHEMATICS

L5 ANSWER 17 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 86:28305 CONFSCI  
DN 86056088

TI Integer programming **model** of allocating **capital** among  
related projects

STN - Conference Papers Index

AU Que, A.L.; Yang, C.W.  
 CS Clarion Univ.  
 SO Instrument Society of America, Publications Department, 67 Alexandria Drive, Research Triangle Park, NC 27709 (USA). Telephone: (919) 549-8411. Meeting Info.: 862 5021: Seventeenth Annual Pittsburgh Conference on Modeling and Simulation (8625021). Pittsburgh, PA (USA). 24-25 Apr 1986. Department of Electrical Engineering, School of Engineering, University of Pittsburgh.  
 DT Conference  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 18 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 85:34168 CONFSCI  
 DN 85041242  
 TI Simulation of **scenarios** for transfer of technology in R&D **portfolio**  
 AU Wielicki, T.R.  
 CS California State Univ.  
 SO University of Pittsburgh, Modeling and Simulation Conference, c/o William G. Vogt, 348 Benedum Engineering Hall, Pittsburgh, PA 15261 (USA). Meeting Info.: 852 0670: Modeling and Simulation 16th Annual Pittsburgh Conference (8520670). Pittsburgh, PA (USA). 25-26 Apr 1985. Department of Electrical Engineering, School of Engineering, University of Pittsburgh.  
 DT Conference  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 19 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 85:20227 CONFSCI  
 DN 85027122  
 TI **Capital** productivity maintenance effectiveness and economic **models** in **capital** intensive manufacturing systems  
 AU Ahlmann, H.  
 SO For ordering information contact the International Foundation for Production Research, Blacksburg, VA, USA. Meeting Info.: 853 5000: Production Research 8th International Conference (8535000). Stuttgart (FRG). 20-22 Aug 85. International Foundation for Production Research (IFPR); Institut fuer industrielle Fertigung und Fabrikbetrieb der Universitat Stuttgart (IFF); Fraunhofer-Institut fuer Arbeitswirtschaft und Organisation (IAO).  
 DT Conference  
 FS DCCP  
 LA UNAVAILABLE  
 CC 3000 CIVIL AND MECHANICAL ENGINEERING

L5 ANSWER 20 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 84:16284 CONFSCI  
 DN 84027684  
 TI Future **capital** investment **scenarios** in Hong Kong: A catastrophe theory application  
 AU Liu, J.W.Y.; Demko, G.J.; Ying, K.P.H.  
 SO 1984, Proceedings available: W.G. Vogt, Modeling & Simulation Conference, 348 Benedum Engineering Hall, University of Pittsburgh, Pittsburgh, PA 15261, USA. Meeting Info.: 842 0510: Modeling and Simulation, 15th Annual Pittsburgh Conference (8420510). Pittsburgh, PA (USA). 19-20 Apr 84. School of

STN - Conference Papers Index

Engineering, University of Pittsburgh; Institute of Electrical and Electronic Engineers (IEEE); Instrument Society of America (ISA); Society for Computer Simulation; Int. Assn. for Mathematics and Computers in Simulation.

DT Conference  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 21 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 83:51600 CONFSCI

DN 84002760

TI NMR of pediatric hips: is hip arthrography necessary in infants and children to outline the **capital** articular surface

AU Young, S.-Y.; Scoles, P.V.

SO Program and Abstracts in: "Radiology", Nov. 1983, Radiological Society of North America, Inc., 20th and Northampton Streets, Easton, PA 18042, USA, Inquiries regarding publication of full papers: Radiology Editorial Office, Tower 14, Suite 835, 21700 Northwestern Highway, Southfield, MI 48075, USA. Abstract No. 78.

Meeting Info.: 834 0241: Radiological Society of North America, 69th Scientific Assembly and Annual Meeting (8340241). Chicago, IL (USA). 13-18 Nov 83. Radiological Society of North America (RSNA).

DT Conference  
FS DCCP  
LA UNAVAILABLE  
CC 4500 EXPERIMENTAL MEDICINE

L5 ANSWER 22 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 82:22554 CONFSCI

DN 82034573

TI **Modelling** Quarterly Movements in House Prices in Australian **Capital** Cities

AU Abelson, P.W.

CS Macquarie Univ.

SO 1982, Technical Service Library, University of New South Wales, P.O. Box 1, Kensington NSW 2033, Australia, Paper and microfiche copies available. Meeting Info.: 822 0128: Australian and New Zealand Association for the Advancement of Science, 52nd Congress (8220128). Sydney (NSW), Australia. 10-14 May 82. Australian and New Zealand Assn. for the Advancement of Science (ANZAAS).

DT Conference  
FS DCCP  
LA UNAVAILABLE  
CC 7000 MULTIDISCIPLINARY

L5 ANSWER 23 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 81:31877 CONFSCI

DN 82007658

TI Spectral Analysis of the **Capital** Asset Pricing **Model**

AU Yoshida, K.

SO 1981, For information: American Statistical Association, 806 15th St. N.W., Washington, DC 20005, Proc. in volumes by section -- Business & Economics BE, Statistical Computing SC, Statistical Education SE, Survey Res. Methods SRM, Social Statistics SS Sec. BE. Meeting Info.: 813 0370: 141st Annual Meeting of the American Statistical Association (8130370). Detroit, MI. 10-13 Aug 81. American Statistical Association.

DT Conference

STN - Conference Papers Index

FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 24 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 81:26294 CONFSCI  
DN 81061273  
TI Consideration of Variance in **Capital** Budgeting Optimization  
**Models** With Multiple Objectives  
AU Lee, S.M.; Olson, D.L.  
CS Univ. NE  
SO In "Proceedings 1981 Fall Industrial Engineering Conference", 1981,  
American Institute of Industrial Engineers, 25 Technology Park/Atlanta,  
Norcross, GA 30092, ISSN: 0163-1810; Order No. IIE-P-264.  
Meeting Info.: 814 0292: 1981 Fall Industrial Engineering Conference  
(8140292). Washington, D.C.. 6-9 Dec 81. Institute of Industrial  
Engineers; American Institute of Industrial Engineers.  
DT Conference  
FS DCCP  
LA UNAVAILABLE  
CC 5000 GENERAL ENGINEERING AND TECHNOLOGY

L5 ANSWER 25 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 80:90920 CONFSCI  
DN 81025569  
TI A mathematical **capital** programming model for electric  
energy  
AU Caglar, M.  
CS Univ. Of Petroleum And Minerals, Dhahran, Saudi Arabia  
SO Abstracts (Eng) in bound volume, 15 Dec 80: Clean Energy Research Inst.,  
Univ. of Miami, Coral Gables, FL 33124. Papers (Eng) in hardcover volume,  
Nov 81: Hemisphere Publishing Corp., Washington, DC..  
Meeting Info.: 3rd Miami International Conference on Alternative Energy  
Sources (804 0451). Bal Harbour, Florida. 15-17 Dec 80. International  
Association for Hydrogen Energy; Clean Energy Research Institute;  
University of Miami (School of Engineering and Architecture);  
International Atomic Energy Agency; International Association for Housing  
Science; International Solar Energy Society.  
DT Conference Article  
FS DCCP  
LA English  
CC 8500 POWER ENGINEERING; 2000 BIOLOGY GENERAL; 2500 CHEMISTRY AND CHEMICAL  
ENGINEERING; 3000 CIVIL AND MECHANICAL ENGINEERING; 5500 GEOSCIENCE

L5 ANSWER 26 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 80:25792 CONFSCI  
DN 80060408  
TI A **portfolio** model for dairy sire selection  
AU Helgren, R. E.; Shook, G. E.; Schoney, R. A.  
CS Univ. Of Wisconsin, Madison, WI  
SO Abstracts (Eng) in "Journal of Dairy Science," Vol. 63, Supple. 1, May  
1980, ISSN 0022-0302: American Dairy Science Assn., 309 W. Clark St.,  
Champaign, IL 61820..  
Meeting Info.: American Dairy Science Association 75th Annual Meeting (802  
0291). Blacksburg, Virginia. 15-18 Jun 80. American Dairy Science  
Association.  
DT Conference Article  
FS DCCP  
LA English

STN - Conference Papers Index

CC 1000 ANIMAL AND PLANT SCIENCE; 1500 BIOCHEMISTRY; 2000 BIOLOGY GENERAL;  
7500 PHARMACOLOGY

L5 ANSWER 27 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 80:5357 CONFSCI

DN 80039323

TI Partial differential calculus for sensitivity analysis in capital  
budgeting models

AU McNeely, W. F.

CS McNeely & Co., 24123 Vista Corona, Dana Point, CA 92629

SO Abstracts (Eng) in "TIMS/ORSA Bulletin," (ISSN 0161-0295), No. 9, 1980,  
\$3.00 per copy prepaid: TIMS, 146 Westminster St., Providence, RI 02903 or  
ORSA, 428 E. Preston St., Baltimore, MD 21202. Papers must be requested  
directly from authors.

Meeting Info.: Institute of Management Science/Operations Research Society  
of America Joint National Meeting (TIMS/ORSA - DC '80) (802 0155).

Washington, DC. 4-7 May 80. The Institute of Management Science;

Operations Research Society of America.

DT Conference Article

FS DCCP

LA English

CC 6500 MATHEMATICS; 0500 AEROSPACE SCIENCES AND ENGINEERING; 3000 CIVIL AND  
MECHANICAL ENGINEERING; 3500 CLINICAL MEDICINE; 4000 ELECTRICAL  
ENGINEERING; 5500 GEOSCIENCE; 8500 POWER ENGINEERING

L5 ANSWER 28 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 80:4823 CONFSCI

DN 80038789

TI Strategic portfolio planning: A normative modeling  
approach

AU Zoltners, A. A.

CS Graduate School Of Management, Northwestern Univ., Evanston, IL 60201

SO Abstracts (Eng) in "TIMS/ORSA Bulletin," (ISSN 0161-0295), No. 9, 1980,  
\$3.00 per copy prepaid: TIMS, 146 Westminster St., Providence, RI 02903 or  
ORSA, 428 E. Preston St., Baltimore, MD 21202. Papers must be requested  
directly from authors.

Meeting Info.: Institute of Management Science/Operations Research Society  
of America Joint National Meeting (TIMS/ORSA - DC '80) (802 0155).

Washington, DC. 4-7 May 80. The Institute of Management Science;

Operations Research Society of America.

DT Conference Article

FS DCCP

LA English

CC 6500 MATHEMATICS; 0500 AEROSPACE SCIENCES AND ENGINEERING; 3000 CIVIL AND  
MECHANICAL ENGINEERING; 3500 CLINICAL MEDICINE; 4000 ELECTRICAL  
ENGINEERING; 5500 GEOSCIENCE; 8500 POWER ENGINEERING

L5 ANSWER 29 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:53673 CONFSCI

DN 79101188

TI Application of the Sharpe's portfolio model to the  
Brazilian stock market

AU Nelson, M. F.

CS COPPE-UFRJ, Ilha do Fundao, 20.000 - Rio de Janeiro - RJ, Brazil

SO Abstracts (Eng) in "ORSA/TIMS Bulletin," No. 8, Aug 79, \$3.00 prepaid:  
TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 East Preston  
St., Baltimore, MD 21202. Papers must be requested directly from authors..

Meeting Info.: Operations Research Society of America; The Institute of  
Management Sciences Joint National Meeting (ORSA/TIMS) (794 2270).

STN - Conference Papers Index

Milwaukee, Wisconsin. 15-17 Oct 79. Operations Research Society of America/The Institute of Management Sciences.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 6500 MATHEMATICS

L5 ANSWER 30 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:22444 CONFSCI

DN 79069957

TI A new business development **portfolio-resource planning model**

AU Ostrozyński, R. L.

SO Abstracts (Eng) in "CSJ/ACS Joint Chemical Congress 1979," Mar 79: ACS, 1155 16th St., NW, Washington, D.C. 20036. Separate divisional papers (Eng) sold as preprints, photocopies and reprints, for information on ordering contact ACS headquarters, above..  
Meeting Info.: CSJ/ACS Chemical Congress 1979 (792 2020). Honolulu, Hawaii. 1-6 Apr 79. American Chemical Society; Chemical Society of Japan; Royal Australian Chemical Institute; New Zealand Institute of Chemistry; Chemical Institute of Canada.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 2500 CHEMISTRY AND CHEMICAL ENGINEERING

L5 ANSWER 31 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:18646 CONFSCI

DN 79066083

TI A linear programming **model** for use in facility planning studies of **capital** expenditure for new construction

AU Scribner, B. F.

CS Bethlehem Steel Corporation, Bethlehem, PA 18018

SO Abstracts (Eng) in "ORSA/TIMS Bulletin," No. 7: TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St., Baltimore, MD 21202. Papers (Eng) ordered individually directly from authors..  
Meeting Info.: TIMS/ORSA Joint National Meeting (792 2417). New Orleans, Louisiana. 30 Apr- 2 May 79. The Institute of Management Sciences; Operations Research Society of America.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 6500 MATHEMATICS

L5 ANSWER 32 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:18085 CONFSCI

DN 79065522

TI Minimum risk **portfolio model**

AU Pal, S.

CS Widener College, Chester, PA 19013

SO Abstracts (Eng) in "ORSA/TIMS Bulletin," No. 7: TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St., Baltimore, MD 21202. Papers (Eng) ordered individually directly from authors..  
Meeting Info.: TIMS/ORSA Joint National Meeting (792 2417). New Orleans, Louisiana. 30 Apr- 2 May 79. The Institute of Management Sciences; Operations Research Society of America.

DT Conference Article

FS DCCP

LA UNAVAILABLE

STN - Conference Papers Index

CC 6500 MATHEMATICS

L5 ANSWER 33 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:10963 CONFSCI

DN 79058343

TI Robust estimation of **portfolio models**

AU Guise, J. W. B.

SO Abstracts (Eng) in two volumes, 22 Jan 79, \$10 per set: ANZAAS, Box 873, GPO, Sydney, Australia 2000. Papers (Eng) on microfilm or paper copies from microfilm, Mar 79: Technical Services Librarian, University of N.S.W., P.O. Box 1, Kensington, N.S.W., Australia 2033. Meeting Info.: Australian and New Zealand Association for the Advancement of Science 49th Congress (791 2029). Auckland, New Zealand. 22-26 Jan 79. Australian and New Zealand Association for the Advancement of Science.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 7000 MULTIDISCIPLINARY

L5 ANSWER 34 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 79:9285 CONFSCI

DN 79056665

TI **Capital budgeting models** and planning: An evolutionary process

AU Hoyle, R. S.

CS Tesoro Petroleum Corp.

SO Papers including abstracts (Eng) in bound volumes, at conference and after while supply lasts, \$20.00 per set: SPE, 6200 N. Central Expressway, Dallas, TX 75206..

Meeting Info.: SPE Hydrocarbon Economics and Evaluation Symposium (791 2221). Dallas, Texas. 11-13 Feb 79. Society of Petroleum Engineers (of the American Institute of Mining, Metallurgical and Petroleum Engineers).

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 5500 GEOSCIENCE

L5 ANSWER 35 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 78:93767 CONFSCI

DN 79033743

TI A multi-index model for **portfolio** selection based on principal components analysis

AU Hindelang, T. J.

CS Drexel Univ.

SO Papers (Eng) in proceedings planned, for information: AIDS, B. Hardy, Sec., C/O Dept. of Agricultural Economics, Auburn Univ., Auburn, AL 36830..

Meeting Info.: 10th Annual Convention of the American Institute for Decision Sciences (784 2186). St. Louis, Missouri. 30 Oct- 1 Nov 78. American Institute for Decision Sciences.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 6500 MATHEMATICS

L5 ANSWER 36 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 78:41749 CONFSCI

DN 78084429

TI **Portfolio models** with stochastic cash demand,



STN - Conference Papers Index

uncertain returns & transfer costs.

AU Thakkar, R.B.  
CS Faculty Of Bus, Univ Of Calgary, Calgary, Alta T2N 1n4.  
SO Abstracts (Eng) in "TIMS/ORSA Bulletin," Feb 78, \$5: P.A. Demetriou, Celanese Corp., 522 Fifth Ave., New York, NY 10022..  
Meeting Info.: Joint National TIMS/ORSA Meeting (782 1047). New York, New York. 1-3 May 78. The Institute of Management Sciences; Operations Research Society of America.  
DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 37 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 78:41353 CONFSCI  
DN 78084033  
TI Strategic capital budgeting: A response of Bernardo-Lanser model.

AU Morse, J.N.  
CS Dept Of Bus Admin, Univ Of Delaware, Newark, DE 19711.  
SO Abstracts (Eng) in "TIMS/ORSA Bulletin," Feb 78, \$5: P.A. Demetriou, Celanese Corp., 522 Fifth Ave., New York, NY 10022..  
Meeting Info.: Joint National TIMS/ORSA Meeting (782 1047). New York, New York. 1-3 May 78. The Institute of Management Sciences; Operations Research Society of America.  
DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 38 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 78:41346 CONFSCI  
DN 78084026  
TI A multi-period bank portfolio model under uncertainty.  
AU May, J.H.  
CS Graduate Sch Of Bus, Univ Of Pittsburgh, Pittsburgh, PA 15260.  
SO Abstracts (Eng) in "TIMS/ORSA Bulletin," Feb 78, \$5: P.A. Demetriou, Celanese Corp., 522 Fifth Ave., New York, NY 10022..  
Meeting Info.: Joint National TIMS/ORSA Meeting (782 1047). New York, New York. 1-3 May 78. The Institute of Management Sciences; Operations Research Society of America.  
DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 39 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 78:41224 CONFSCI  
DN 78083904  
TI A model to evaluate impact of hospital capital investment on operating expenses.  
AU Lasdon, G.S.  
CS Office Of Res, Hlth Care Financing Administration, Wash, DC 20201.  
SO Abstracts (Eng) in "TIMS/ORSA Bulletin," Feb 78, \$5: P.A. Demetriou, Celanese Corp., 522 Fifth Ave., New York, NY 10022..  
Meeting Info.: Joint National TIMS/ORSA Meeting (782 1047). New York, New York. 1-3 May 78. The Institute of Management Sciences; Operations Research Society of America.  
DT Conference Article

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FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 40 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 78:41059 CONFSCI  
DN 78083739  
TI **Portfolio models** Are they useful?  
AU Thomson, M.  
CS Mellon Bank, NA, Pittsburgh, Pa 15230.  
SO Abstracts (Eng) in "TIMS/ORSA Bulletin," Feb 78, \$5: P.A. Demetriou,  
Celanese Corp., 522 Fifth Ave., New York, NY 10022..  
Meeting Info.: Joint National TIMS/ORSA Meeting (782 1047). New York, New  
York. 1-3 May 78. The Institute of Management Sciences; Operations  
Research Society of America.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 41 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 78:15289 CONFSCI  
DN 78057967  
TI **Capital** expansion & Averch-Johnson effect: An investment  
decision **model**.  
AU Westmoreland, G.O.  
CS Univ.  
SO Papers (Eng) in "Proceedings of the 8th Annual Southeastern AIDS Meeting,"  
15 Feb 78: Prof. J.M. Clapper, Babcock Graduate School of Management, Wake  
Forest Univ., Winston-Salem, NC 27109..  
Meeting Info.: American Institute for Decision Sciences Eighth Annual  
Meeting Southeastern Region (781 2079). Jacksonville, Florida. 15-17 Feb  
78. American Institute for Decision Sciences.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 42 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 77:13421 CONFSCI  
DN 77037923  
TI Appropriate objective functions & **capital** allocation  
**models**.  
AU Thuesen, G.J.  
CS Sch Of Industrial And Systems Engg, Georgia Inst Of Tech, Atlanta, Ga  
30332.  
SO Abstracts in "TIMS/ORSA Bulletin," Spring 77 (No. 3), \$3 per copy: TIMS,  
146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St.,  
Baltimore, MD 21202..  
Meeting Info.: TIMS/ORSA Joint National Meeting (A772075). San Francisco,  
California. 9-11 May 77. The Institute of Management Sciences; Operations  
Research Society of America.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 43 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 77:13347 CONFSCI

STN - Conference Papers Index

DN 77037849  
 TI Survey & models of capital budgeting decision processes in multi-division firms.  
 AU Rosenblatt, M.J.  
 CS Stanford U, Stanford, Ca 94305.  
 SO Abstracts in TIMS/ORSA Bulletin," Spring 77 (No. 3), \$3 per copy: TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St., Baltimore, MD 21202..  
 Meeting Info.: TIMS/ORSA Joint National Meeting (A772075). San Francisco, California. 9-11 May 77. The Institute of Management Sciences; Operations Research Society of America.  
 DT Conference Article  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 44 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 77:13130 CONFSCI  
 DN 77037632  
 TI Computers in manpower modeling for a capital intensive industry.  
 AU Thoolen, S.I.  
 CS Matson Navigation Co, Oakland, Ca 94607.  
 SO Abstracts in TIMS/ORSA Bulletin," Spring 77 (No. 3), \$3 per copy: TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St., Baltimore, MD 21202..  
 Meeting Info.: TIMS/ORSA Joint National Meeting (A772075). San Francisco, California. 9-11 May 77. The Institute of Management Sciences; Operations Research Society of America.  
 DT Conference Article  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 45 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 77:13087 CONFSCI  
 DN 77037589  
 TI Multi-objective linear programming models for large-scale capital expenditure budgeting.  
 AU Lawrence, K.D.  
 CS Management Sci Sect, Hoffman-la Roche, Nutley, NJ 07110.  
 SO Abstracts in TIMS/ORSA Bulletin," Spring 77 (No. 3), \$3 per copy: TIMS, 146 Westminster St., Providence, RI 02903 or ORSA, 428 E. Preston St., Baltimore, MD 21202..  
 Meeting Info.: TIMS/ORSA Joint National Meeting (A772075). San Francisco, California. 9-11 May 77. The Institute of Management Sciences; Operations Research Society of America.  
 DT Conference Article  
 FS DCCP  
 LA UNAVAILABLE  
 CC 6500 MATHEMATICS

L5 ANSWER 46 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 75:38160 CONFSCI  
 DN 75085457  
 TI Capital coefficient development for use in a dynamic energy model.  
 AU Borko, B.L.  
 SO Papers in Proceedings 1975 Summer Computer Simulation Conference" (2

STN - Conference Papers Index

vols.), Jul 75, \$25 per set: AFIPS Press, 210 Summit Ave., Montvale, N. J. 07645..

Meeting Info.: 1975 Summer Computer Simulation Conference (A753052). San Francisco, California. 21-23 Jul 75. American Institute of Chemical Engineers; American Meteorological Society; Instrument Society of America; Society for Computer Simulation; Share (IBM Users Group).

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 5000 GENERAL ENGINEERING AND TECHNOLOGY

L5 ANSWER 47 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 75:12636 CONFSCI

DN 75059933

TI Algorithm for solution of an expected value semi-variance **capital** budgeting **model** with continuous probability distributions.

AU Mount-Campbell, C...

SO Abstracts in Bulletin of the Operations Research Society of America, "Supplement 1, Spring 1975, \$3 per copy: Operations Research Society of America, 428 East Preston St., Baltimore, Md. 21202..

Meeting Info.: Operations Research Society of America/Institute of Management Sciences National Meeting (A752178). Chicago, Illinois. 30 Apr-2 May 75. Operations Research Society of America; The Institute of Management Sciences.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 6500 MATHEMATICS

L5 ANSWER 48 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 74:21774 CONFSCI

DN 75010317

TI Multi-objective **portfolio** selection **model**.

AU Courtney, J.F.

CS Coll Of Industrial Management, Georgia Inst Of Tech, Atlanta, Georgia 30332.

SO Abstracts in ORSA Bulletin, "Fall 74; \$2.00: ORSA/TIMS Puerto Rico Meeting, 428 East Preston St., Baltimore, Md. 21202..

Meeting Info.: 46th Meeting of Operations Research Society of America/21st Meeting of The Institute of Management Sciences (B744010). San Juan, Puerto Rico. 16-18 Oct 74. Operations Research Society of America; The Institute of Management Sciences.

DT Conference Article

FS DCCP

LA UNAVAILABLE

CC 6500 MATHEMATICS

L5 ANSWER 49 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN

AN 74:21620 CONFSCI

DN 75010163

TI **Portfolio** models-- group of long-tailed distributions.

AU Arad-Wiener, R.

CS Morgan Guaranty Trust, 37 Wall St; New York, NY 10015.

SO Abstracts in ORSA Bulletin, "Fall 74; \$2.00: ORSA/TIMS Puerto Rico Meeting, 428 East Preston St., Baltimore, Md. 21202..

Meeting Info.: 46th Meeting of Operations Research Society of America/21st Meeting of The Institute of Management Sciences (B744010). San Juan, Puerto Rico. 16-18 Oct 74. Operations Research Society of America; The Institute of Management Sciences.

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DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 50 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 74:21464 CONFSCI  
DN 75010007  
TI Cost of **capital** in **capital** rationing models.  
AU Burton, R.M.  
CS Grad Sch Of BA, Duke U, Durham, NC 27706.  
SO Abstracts in ORSA Bulletin," Fall 74; \$2.00: ORSA/TIMS Puerto Rico Meeting, 428 East Preston St., Baltimore, Md. 21202..  
Meeting Info.: 46th Meeting of Operations Research Society of America/21st Meeting of The Institute of Management Sciences (B744010). San Juan, Puerto Rico. 16-18 Oct 74. Operations Research Society of America; The Institute of Management Sciences.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 51 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 74:21002 CONFSCI  
DN 75009545  
TI Ex post evaluation of **portfolio** selection models.  
AU Rochester, D.P.  
CS Coll Of Commerce And BA, Univ Of Alabama, University, Ala 35486.  
SO Abstracts in ORSA Bulletin," Fall 74; \$2.00: ORSA/TIMS Puerto Rico Meeting, 428 East Preston St., Baltimore, Md. 21202..  
Meeting Info.: 46th Meeting of Operations Research Society of America/21st Meeting of The Institute of Management Sciences (B744010). San Juan, Puerto Rico. 16-18 Oct 74. Operations Research Society of America; The Institute of Management Sciences.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 52 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 74:20964 CONFSCI  
DN 75009507  
TI Suggested **portfolio** selection model.  
AU Droms, W.G.  
CS Georgetown U, Sch Of BA, Washington, DC 20017.  
SO Abstracts in ORSA Bulletin," Fall 74; \$2.00: ORSA/TIMS Puerto Rico Meeting, 428 East Preston St., Baltimore, Md. 21202..  
Meeting Info.: 46th Meeting of Operations Research Society of America/21st Meeting of The Institute of Management Sciences (B744010). San Juan, Puerto Rico. 16-18 Oct 74. Operations Research Society of America; The Institute of Management Sciences.

DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 53 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 73:19273 CONFSCI  
DN 73036795

STN - Conference Papers Index

TI Stochastic model for portfolio revision problem.  
AU Plionis, D.A.  
CS Johns Hopkins U, Baltimore, Maryland, 21218.  
SO N.A..  
Meeting Info.: 43rd National Meeting of Operations Research Society of  
America (A732056). Milwaukee, Wis. 9-11 May 73. Operations Research  
Society of America.  
DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS

L5 ANSWER 54 OF 54 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 73:19190 CONFSCI  
DN 73036712  
TI Programming models & capital rationing--seven authors  
in search of a plot.  
AU Weingartner, H.M.  
CS Grad Sch Of Management, U Of Rochester, NY 14627.  
SO N.A..  
Meeting Info.: 43rd National Meeting of Operations Research Society of  
America (A732056). Milwaukee, Wis. 9-11 May 73. Operations Research  
Society of America.  
DT Conference Article  
FS DCCP  
LA UNAVAILABLE  
CC 6500 MATHEMATICS